

QUANTUM THEORY OF GRAVITATION*

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My subject is the quantum theory of gravitation. My interest in it is primarily in the relation of one part of nature to another. There's a certain irrationality to any work in gravitation, so it's hard to explain why you do any of it; for example, as far as quantum effects are concerned let us consider the effect of the gravitational attraction between an electron and a proton in a hydrogen atom; it changes the energy a little bit. Changing the energy of a quantum system means that the phase of the wave function is slowly shifted relative to what it would have been were no perturbation present. The effect of gravitation on the hydrogen atom is to shift the phase by 43 seconds of phase in every hundred times the lifetime of the universe! An atom made purely by gravitation, let us say two neutrons held together by gravitation, has a Bohr orbit of 10^8 light years. The energy of this system is 10^{-70} rydbergs. I wish to discuss here the possibility of calculating the Lamb correction to this thing, an energy, of the order 10^{-120} . This irrationality is shown also in the strange gadgets of Prof. Weber, in the absurd creations of Prof. Wheeler and other such things, because the dimensions are so peculiar. It is therefore clear that the problem we are working on is not the correct problem; the correct problem is what determines the size of gravitation? But since I am among equally irrational men I won't be criticized I hope for the fact that there is no possible, practical reason for making these calculations.

I am limiting myself to not discussing the questions of quantum geometry nor what happens when the fields are of very short wave length. I am not trying to discuss any problems which we don't already have in present quantum field theory of other fields, not that I believe that gravitation is incapable of solving the problems that we have in the present theory, but because I wish to limit my subject. I suppose that no wave lengths are shorter than one-millionth of the Compton wave length of a proton, and therefore it is legitimate to analyze everything in perturbation approximation; and I will carry out the perturbation approximation as far as I can in every direction, so that we can have as many terms as we want, which means that we can go to ten to the minus two-hundred and something rydbergs.

I am investigating this subject despite the real difficulty that there are no experiments. Therefore there is so real challenge to compute true, physical situations. And so I made

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believe that there were experiments; I imagined that there were a lot of experiments and that the gravitational constant was more like the electrical constant and that they were coming up with data on the various gravitating atoms, and so forth; and that it was a challenge to calculate whether the theory agreed with the data. So that in each case I gave myself a specific physical problem; not a question, what happens in a quantized geometry, how do you define an energy tensor *etc.*, unless that question was necessary to the solution of the physical problem, so please appreciate that the plan of the attack is a succession of increasingly complex physical problems; if I could do one, then I was finished, and I went to a harder one imagining the experimenters were getting into more and more complicated situations. Also I decided not to investigate what I would call familiar difficulties. The quantum electrodynamics diverges; if this theory diverges, it's not something to be investigated unless it produces any specific difficulties associated with gravitation. In short, I was looking entirely for unfamiliar (that is, unfamiliar to meson physics) difficulties. For example, it's immediately remarked that the theory is non-linear. This is not at all an unfamiliar difficulty; the theory, for example, of the spin 1/2 particles interacting with the electromagnetic field has a coupling term $\bar{\psi} A \psi$ which involves three fields and is therefore non-linear; that's not a new thing at all. Now, I thought that this would be very easy and I'd just go ahead and do it, and here's what I planned. I started with the Lagrangian of Einstein for the interacting field of gravity and I had to make some definition for the matter since I'm dealing with real bodies and make up my mind what the matter was made of; and then later I would check whether the results that I have depend on the specific choice or they are more powerful. I can only do one example at a time; I took spin zero matter; then, since I'm going to make a perturbation theory, just as we do in quantum electrodynamics, where it is allowed (it is especially more allowed in gravity where the coupling constant is smaller), $g_{\mu\nu}$ is written as flat space as if there were no gravity plus κ times $h_{\mu\nu}$, where κ is the square root of the gravitational constant. Then, if this is substituted in the Lagrangian, one gets a big mess, which is outlined here.

$$\mathcal{L} = \frac{1}{\kappa^2} \int R \sqrt{g} d\tau + \frac{1}{2} \int (\sqrt{g} g^{\mu\nu} \varphi_{,\mu} \varphi_{,\nu} - m^2 \sqrt{g} \varphi^2) d\tau \quad (1)$$

$$g_{\mu\nu} = \delta_{\mu\nu} + \kappa h_{\mu\nu}$$

Substituting and expanding, and simplifying the results by a notation (a bar over a tensor means

$$\bar{x}_{\mu\nu} \equiv \frac{1}{2} (x_{\mu\nu} + x_{\nu\mu} - \delta_{\mu\nu} x_{\sigma\sigma});$$

notice that if $x_{\mu\nu}$ is symmetric, $\bar{x}_{\mu\nu} = x_{\mu\nu}$) we get

$$\begin{aligned} \mathcal{L} = & \int (h_{\mu\nu,\sigma} \bar{h}_{\mu\nu,\sigma} - 2 \bar{h}_{\mu\sigma,\sigma} \bar{h}_{\mu\sigma,\sigma}) + \frac{1}{2} \int (\varphi_{,\mu}^2 - m^2 \varphi^2) d\tau + \\ & + \kappa \int \left(\bar{h}_{\mu\nu} \varphi_{,\mu} \varphi_{,\nu} - m^2 \frac{1}{2} h_{\sigma\sigma} \varphi^2 \right) + \kappa \int "hhh" + \kappa^2 \int "hh\varphi\varphi" + \text{etc.} \end{aligned} \quad (2)$$

First, there are terms which are quadratic in h ; then there are terms which are quadratic

in φ , the spin zero meson field variable; then there are terms which are more complicated than quadratic; for example, here is a term with two φ 's and one h , which I will write $h\varphi\varphi$ (I have written that one out, in particular); there are terms with three h 's; then there are terms which involve two h 's and two φ 's; and so on and so on with more and more complicated terms. The first two terms are considered as the free Lagrangian of the gravitational field and of the matter.

Now we look first at what we would want to solve problem classically, we take the variation of this with respect to h , from the first term we produce a certain combination of second derivatives, and on the other side a mess involving higher orders than first. And the same with the φ , of course.

$$h_{\mu\nu, \sigma\sigma} - \bar{h}_{\sigma\nu, \sigma\mu} - \bar{h}_{\sigma\mu, \sigma\nu} = \bar{S}_{\mu\nu}(h, \varphi) \quad (3)$$

$$\varphi_{, \sigma\sigma} - m^2 \varphi = \chi(\varphi, h). \quad (4)$$

We will speak in the following way: (3) is a wave equation, of which $S_{\mu\nu}$ is the source, just like (4) is the wave equation of which χ is the source. The problem is to solve those equations in succession, and to use the usual methods of calculation of the quantum theory. Inasmuch as I wanted to get into the minimum of difficulties, I just took a guess that I use the same plan as I do in electricity; and the plan in electricity leads to the following suggestion here: that if you have a source, you divide by the operator on the left side of (3) in momentum space to get the propagator field. So I have to solve this equation (3). But as you all know it is singular; the entire Lagrangian in the beginning was invariant under a complicated transformation of g , which in the form of h is the following; if you add to h a gradient plus more, the entire system is invariant:

$$h'_{\mu\nu} = h_{\mu\nu} + 2\xi_{\mu, \nu} + 2h_{\mu\sigma} \xi_{\sigma, \nu} + \xi_{\sigma} h_{\mu\nu, \sigma} \quad (5)$$

where ξ_{μ} is arbitrary, and μ and ν should be made symmetric in all these equations. As a consequence of this same invariance in the complete Lagrangian one can show that the source $S_{\mu\nu}$ must have zero divergence $S_{\mu\nu, \nu} = 0$. In fact equations (3) would not be consistent without this condition as can be seen by barring both sides and taking the divergence — the left side vanishes identically. Now, because of the invariance of the equations, in the same way that the Maxwell equations cannot be solved to get a unique vector potential — so these can't be solved and we can't get a unique propagator. But because of the invariance under the transformation some arbitrary choice of a condition on $h_{\mu\nu}$ can be made, analogous to the Lorentz condition $A_{\mu, \mu} = 0$ in quantum electrodynamics. Making the simplest choice which I know, I make choice $\bar{h}_{\mu\sigma, \sigma} = 0$. This is four conditions and I have free the four variables ξ_{μ} that I can adjust to make the condition satisfied by $h'_{\mu\nu}$. Then this equation (3) is very simple, because two terms in (3) fall away and all we have is that the d'Alembertian of h is equal to S . Therefore the generating field from a source $S_{\mu\nu}$ will equal the $\bar{S}_{\mu\nu}$ times $1/k^2$ in Fourier series, where k^2 is the square of the frequency, wave vector; the time part might be called the frequency ω , the space part \mathbf{k} . This is the analogue of the equation in electricity that says that the field is $1/k^2$ times the current. In the method of quantum field

theory, you have a source which generates something, and that may interact later with something else; the interaction, of course, is $S_{\mu\nu} h_{\mu\nu}$; so that, I say, one source may create a potential which acts on another source. So, to take the very simplest example of two interacting systems, let's say S and S' , the result would be the following: h would be generated by $S_{\mu\nu}$ and then it would interact with $S'_{\mu\nu}$, so we would get for the interaction of two systems, of two particles, the fundamental interaction that we investigate

$$x^2 \bar{S}_{\mu\nu} \frac{1}{k^2} S'_{\mu\nu}. \quad (6)$$

This represents the law of gravitational interaction expressed by means of an interchange of a virtual graviton. To understand the theory better and to see how far we already arrived we expand it out in components. Let index 4 represent the time, and 3 the direction of \mathbf{k} , so that 1 and 2 are transverse. The condition $k_\mu S_{\mu\nu} = 0$ becomes $\omega S_{4\nu} = k S_{3\nu}$ where k is the magnitude of \mathbf{k} . Using this, many of the terms involving number 3 component of S can be replaced by terms in number 4 components. After some rearranging there results

$$\begin{aligned} -2\bar{S}_{\mu\nu} \frac{1}{k^2} S_{\mu\nu} = & \frac{1}{k^2} [S_{44} S'_{44}] + \frac{1}{k^2} [S_{44} (S'_{11} + S'_{22}) + S'_{44} (S_{11} + S_{22}) + \\ & + S_{43} S'_{43} - 4S_{41} S'_{41} - 4S_{42} S'_{42}] + \frac{1}{k^2 - \omega + i\epsilon} [(S_{11} - S_{22}) (S'_{11} - S'_{22}) + 4S_{12} S'_{12}]. \end{aligned} \quad (7)$$

There is a singular point in the last term when $\omega = k$, and to be precise we put in the $+i\epsilon$ as is well-known from electrodynamics. You note that in the first two terms instead of one over a four-dimensional $\omega^2 - k^2$ we have here just $1/k^2$, the momentum itself. S_{44} is the energy density, so this first term represents the two energy densities interacting with no ω dependence which means, in the Fourier transform an interaction instantaneous in time; and $1/k^2$ means $1/r$ in space, so there's an instantaneous $1/r$ interaction between masses, Newton's law. In the next term there's another instantaneous term which says that Newton's mass law should be corrected by some other components analogous to a kind of magnetic interaction (not quite analogous because the magnetic interaction in electricity already involves a $k^2 - \omega^2 + i\epsilon$ propagator rather than just k^2 . But the $k^2 - \omega^2 + i\epsilon$ in gravitation comes even later and is a much smaller term which involves velocities to the fourth). So if we really wanted to do problems with atoms that were held together gravitationally it would be very easy; we would take the first term, and possibly even the second as the interaction. Being instantaneous, it can be put directly into a Schrödinger equation, analogous to the e^2/r term for electrical interaction. And that take care of gravitation to a very high accuracy, without a quantized field theory at all. However, for still higher accuracy we have to do the radiative corrections, which come from the last term.

Radiation of free gravitons corresponds to the situation that there is a pole in the propagator. There is a pole in the last term when $\omega = k$, of course, which means that the wave number and the frequency are related as for a mass zero particle. The residue of the pole, we see, is the product of two terms; which means that there are two kinds of waves, one generated by $S_{11} - S_{22}$ and the other generated by S_{12} , and so we have two kinds of trans-

verse polarized waves, that is there are two polarization states for the graviton. The linear combination $S_{11} - S_{22} \pm 2iS_{12}$ vary with angle θ of rotation in the 1-2 plane as $e^{\pm 2i\theta}$ so the graviton has spin 2, component ± 2 along direction of polarization. Everything is clear directly from the expression (7); I just wanted to illustrate that the propagator (6) of quantum mechanics and all that we know about the classical situation are in evident coincidence.

In order to proceed to make specific calculations by means of diagrams, beside the propagator we need to know just what the junctions are, in other words just what the S 's are for a particular problem; and I shall just illustrate how that's done in one example. It is done by looking at the non-quadratic terms in the Lagrangian I've written one out completely. This one has an h and two φ 's in the Lagrangian (2). The rules of the quantum mechanics for writing this thing are to look at the h and two φ 's: one φ each refers to the in and out particle, and the one h corresponds to the graviton; so we immediately see in that term a two particle interaction through a graviton (see Fig. 1). And we can immediately

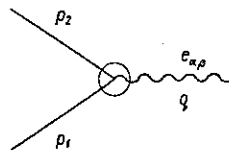


Fig. 1

read off the answer for the interaction this way: if the p_1 and p_2 are the momenta of the particles and q the momentum of the graviton; and $e_{\alpha\beta}$ is the polarization tensor of the plane wave representing the graviton, that is $h_{\alpha\beta} = e_{\alpha\beta} e^{iq \cdot x}$, the Fourier expansion of this term gives the amplitude for the coupling of two particles to a graviton

$$p_\mu^1 p_\nu^2 \bar{e}_{\mu\nu} - \frac{1}{2} m^2 e_{\sigma\sigma}. \quad (8)$$

So this is a coupling of matter to gravity; it is first order, and then there are higher terms; but the point I'm trying to make is that there is no mystery about what to write down — everything is perfectly clear, from the Lagrangian. We have the propagator, we have the couplings, we can write everything. A term like hhh implies a definite formula for the interaction of three gravitons; it is very complicated, and I won't write it down, but you can read it right off directly by substituting momenta for the gradients. That such a term exists is, of course, natural, because gravity interacts with any kind of energy, including its own, so if it interacts with an object-particles it will interact with gravitons; so this is the scattering of a graviton in a gravitational field, which must exist. So that everything is directly readable and all we have to do is proceed to find out if we get a sensible physics. I've already indicated that the physics of direct interactions is sensible; and I go ahead now to compute a number of other things.

To take just one example, we compute the Compton effect, or the analogue rather, of the Compton effect, in which a graviton comes in and out on a particle. The amplitude

for this is a sum of terms corresponding to the diagrams of Fig. 2. The amplitude for the first diagram of Fig. 2 is the coupling (8) times the propagator for the intermediate meson which reads $(p^2 - m^2)^{-1}$, which is the Fourier transform of the equation (4) which is the propagation of the spin zero particle. Then there is another coupling of the same form as (8). We multiply these together, to get the amplitude for that diagram

$$\left(p_\mu^2 p_\nu \bar{e}_{\mu\nu}^b - \frac{1}{2} e_{\mu\mu} m^2 \right) \frac{1}{p^2 - m^2} \left(p_\sigma p_\tau e_{\sigma\tau}^a - \frac{1}{2} \bar{e}_{\sigma\sigma} m^2 \right),$$

where we should substitute $p = p^2 + q^b = p^1 + q^a$. Then you must add similar contributions from the other diagrams.

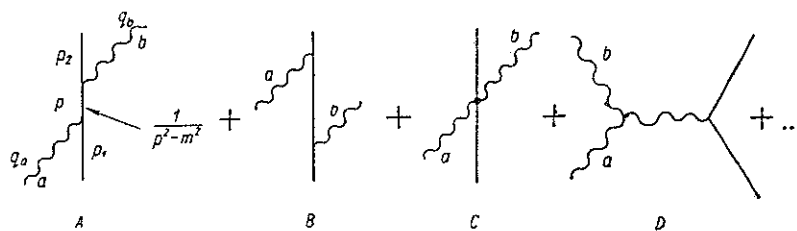


Fig. 2

The third one comes in because there are terms with two h 's and two φ 's in the Lagrangian. One adds the four diagrams together and gets an answer for the Compton effect. It is rather simple, and quite interesting; that it is simple is what is interesting, because the labour is fantastic in all these things.

But the thing I would like to emphasize is this; in this problem we used a certain wave $e_{\alpha\beta}^a$ for the incoming graviton number "a" say; the question is could we use a different one? According to the theory, it should really be invariant under coordinate transformations and so on, but what it corresponds to here is the analogue of gauge invariance, that you can add to the potential a gradient (see (5)). And therefore it should be that if I changed $e_{\alpha\beta}$ of a particular graviton to $e_{\alpha\beta} + q_\alpha \xi_\beta$ where ξ is arbitrary, and q_α is the momentum of the graviton, there should be no change in the physics. In short, the amplitude should be unchanged; and it is. The amplitude for this particular process is what I call gauge-invariant, or coordinate-transforming invariant. At first sight this is somewhat puzzling, because you would have expected that the invariance law of the whole thing is more complicated, including the last two terms in (5), which I seem to have omitted. But those terms have been included; you see asymptotically all you have to do is worry about the second term, the last two in h 's times ξ 's are in fact generated by the last diagram, Fig. 2D; when I put a gradient in here for this one, what this means is if I put for the incoming wave a pure gradient, I should get zero. If I put the gradient $q_\alpha \xi_\beta$ in for $e_{\alpha\beta}^a$ on this term D, I get a coupling between ξ and the other field $e_{\alpha\beta}^a$ because of the three graviton coupling. The result, as far as the matter line is concerned is that it is acted on in first order by a resultant field $e_{\mu\sigma}^b \xi_\sigma q_\mu^a + \frac{1}{2} q_\sigma^b e_{\mu\nu} \xi_\sigma$ which is just the last two terms in (5). The rule is that the field which acts on the

matter itself must be invariant the way described by (5); but here in Fig. 2 I've already calculated all the corrections, the generator and all the necessary non-linear modifications if I take all the diagrams into account. In short, asymptotically far away if I include all kinds of diagrams such as *D*, the invariance need be checked only for a pure gradient added to an incoming wave. It takes care of the non-linearities by calculating them through the interaction.

I would like, now, to emphasize one more point that is very important for our later discussion. If I add a gradient, I said, the result was zero. Let's call *a* the one graviton coming in and *b* the other one in every diagram. The result is zero if I use a gradient for *a*, only if *b* is a free graviton with no source; that is if it is either really an honest graviton with $(q^b)^2 = 0$, or a pure potential, which is a solution of the free wave equation. That is unlike electrodynamics, where the field *b* could have been any potential at all and adding a gradient to *a* would have made no difference. But in gravity, it must be that *b* is a pure wave; the reason is very simple. There is no way to avoid this by changing any propagators; this is not a disease — there is a physical reason. The reason can be seen as follows: If this *b* had a source let me modify my diagrams to show the source of *b*, suppose some other matter particle made the *b*, so we add onto each *b* line a matter line at the end, like Fig. 3a. (E.g. Fig. 2a becomes Fig. 3b etc.)

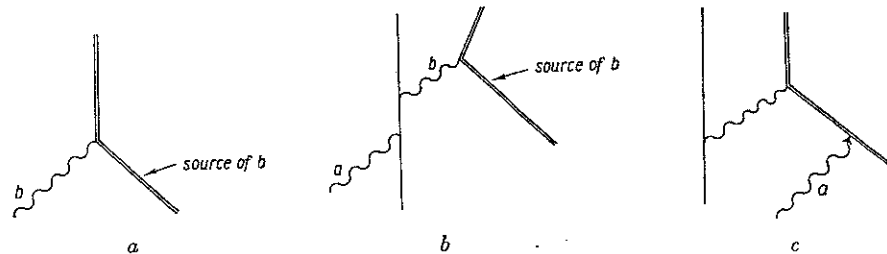


Fig. 3.

Now, if *b* isn't a free wave, but it had a source, the situation is this. If this "*a*" field is taken as a gradient field which operates everywhere on everything in the diagram it should give zero. But we forgot something; there's another type of diagram, if the "*a*" is supposed to act on everything, one of which looks like Fig. 3c, in which the "*a*" itself acts on the source of *b* and then *b* comes over to interact with the original matter. In other words, among all the diagrams where there is a source, there's also these of type 3c. The sum of all diagrams is zero; but the sum of those like Fig. 2 without those of type 3c is not zero, and therefore if I were to just calculate the diagrams of Fig. 2 and forget about the source of *b* and then put a gradient in for "*a*" the result cannot be zero, but must be getting ready to cancel the terms from the likes of 3c when I do it right. That will turn out to be an important point to emphasize. I have done a lot of problems like this, without closed loops but I won't bore you with all the problems and answers; there's nothing new, I mean nothing interesting, in the sense that no apparent difficulties arise.

However, the next step is to take situations in which we have what we call closed loops, or rings, or circuits, in which not all momenta of the problem are defined. Let me just men-

tion something. I've analyzed this method both by doing a number of problems, and by a mathematical high-class elegant technique — I can do high class mathematics too, but I don't believe in it, that's the difference. I have to check it in a problem. I can prove that no matter how complicated the problem is, if you take it in the order in which there are no rings, in which every momentum is determined, the invariance is satisfied, the system is independent of what choice I made of gauge and of the propagator I made in the beginning; and everything is all right, there are no difficulties. I emphasize that this contains all the classical cases, and so I'm really saying there are no difficulties in the classical gravitation theory. This is not meant as a grand discovery, because after all, you've been worrying about all these difficulties that I say don't exist, but only for you to get an idea of the calibration — what I mean by difficulties! If we take the next case, let's say the interaction of two particles in a higher order, then you get diagrams of which I'll only begin to write a few of them. One that looks like this in which two gravitons are exchanged,

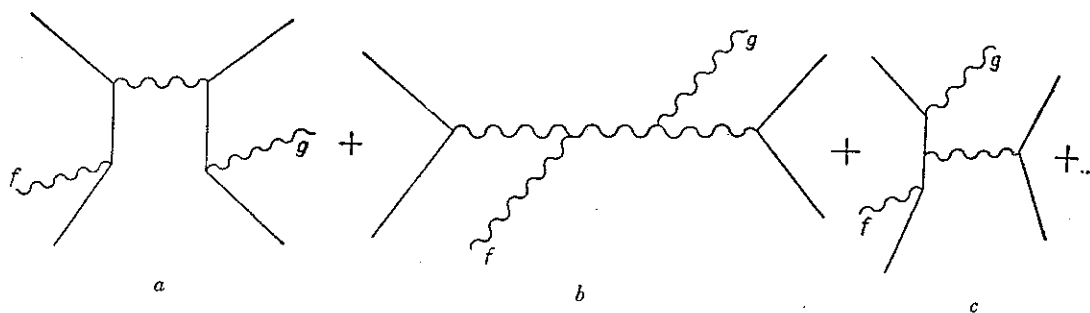


Fig. 4

or, for instance, a graviton gets split into two gravitons and then come back — these are only the beginning of a whole series of frightening-looking pictures, which correspond to the problem of calculating the Lamb shift, or the radiative corrections to the hydrogen atom. When I tried to do this, I did it in a straightforward way, following all the rules, putting in the propagator $1/k^2$, and so on. I had some difficulties, the thing didn't look gauge invariant but that had to do with the way I was making the cutoffs, because the stuff is infinite. Shortage of time doesn't permit me to explain the way I got around all those things, because in spite of getting around all those things the result is nevertheless definitely incorrect. It's gauge-invariant, it's perfectly O.K. looking, but it is definitely incorrect. The reason I knew it was incorrect is the following. In order to get it gauge-invariant, I had to do a lot of pushing and pulling, and I got the feeling that the thing might not be unique. I figured that maybe somebody else could do it another way or something, and I was rather suspicious, so I tried to get more tests for it; and a student of mine, by the name of Yura, tested to see if it was unitary; and what that means is the following: Let me take instead of this scattering problem, a problem of Fig. 4 in which time runs vertically, a problem which gives the same diagrams but in which time is running horizontally, which is the annihilation of a pair, to produce another pair, and we are calculating second order corrections to that problem. Let's suppose for simplicity that in the final state the pair is in the same state as before.

Then, adding all these diagrams gives the amplitude that if you have a pair, particle and antiparticle, they annihilate and recreate themselves; in other words it's the amplitude that the pair is still in the same state as a function of time. The amplitude to remain in the same state for a time T in general is of the form

$$e^{-i\left(E_0 - i\frac{\gamma}{2}\right)T}$$

you see that the imaginary part of the phase goes as $e^{-\frac{\gamma}{2}T}$; which means that the probability of being in a state must decrease with time. Why does the probability decrease in time? Because there's another possibility, namely, these two objects could come together, annihilate, and produce a real pair of gravitons. Therefore, it is necessary that this decay rate of the closed loop diagrams in Fig. 4 that I obtain by directly finding the imaginary part of the sum agrees with another thing I can calculate independently, without looking at the closed loop diagrams. Namely, what is the rate at which a particle and antiparticle annihilate into two gravitons? And this is very easy to calculate (same set of diagrams as Fig. 2, only turned on its side). I calculated this rate from Fig. 2, checked whether this rate agrees with the rate at which the probability of the two particles staying the same decreases (imaginary part of Fig. 4), and it does not check. Somethin'gs the matter.

This made me investigate the entire subject in great detail to find out what the trouble is. I discovered in the process two things. First, I discovered a number of theorems, which as far as I know are new, which relate closed loop diagrams and diagrams without closed loop diagrams (I shall call the latter diagrams "trees"). The unitarity relation which I have just been describing, is one connection between a closed loop diagram and a tree; but I found a whole lot of other ones, and this gives me more tests on my machinery. So let me just tell you a little bit about this theorem, which gives other rules. It is rather interesting. As a matter of fact, I proved that if you have a diagram with rings in it there are enough theorems altogether, so that you can express any diagram with circuits completely in terms of diagrams with trees and with all momenta for tree diagrams in physically attainable regions and on the mass shell. The demonstration is remarkably easy. There are several ways of demonstrating it; I'll only chose one. Things propagate from one place to another, as I said, with amplitude $1/k^2$. When translated into space, that's a certain propagation function which you might call $K_+(1, 2)$, a function of two positions, 1, 2, in space-time. It represents, in the past, incoming waves and in the future, it represents outgoing waves; so you have waves come in and out; and that's the conventional propagator, with the $i\epsilon$ and so on, as usually represented. However, this is only a solution of the propagators's equation, the wave equation I mean; it is a special solution, as you all know. There are other solutions; for instance there is a solution which is purely retarded, which I'll call K_{ret} and which exists only inside the future light-cone. Now, if you have two Green's functions for the same equation they must differ by some solution of the homogeneous equation, say K_x . That means K_x is a solution of the free wave equation and $K_+ = K_{\text{ret}} + K_x$. In a ring like Fig. 4a we have a whole product of these K_+ 's. For example, for four points 1, 2, 3, 4 in a ring we have a product like this: $K_+(1, 2)K_+(2, 3)K_+(3, 4)K_+(4, 1)$ (all K 's are not the same, some of them belong to the gravitons and some are propagators for the particles and so on).

But now let us see what happens if we were to replace one (or more) of these K_+ by K_x , say $K_+(1, 2)$ is $K_x(1, 2)$? Then between 1, 2 we have just free particles, you've broken the ring; you've got an open diagram, because K_x is free wave solution, and this means it's an integral over all real momenta of free particles, on the mass shell and perfectly honest. Therefore if we replace one of K_+ by K_x then that particular line is opened; and the process is changed to one in which there is a forward scattering of an extra particle; there's a fake particle that belongs to this propagator that has to be integrated over, but it's a free diagram — it is now a tree, and therefore perfectly definite and unique to calculate. But I said that I could open every diagram; the reason is this. First I note that if I put K_{ret} for every K in a ring, I get zero

$$K_{\text{ret}}(1, 2)K_{\text{ret}}(2, 3)K_{\text{ret}}(3, 4)K_{\text{ret}}(4, 1) = 0 \quad (9)$$

for to be non zero t_1 must be greater than t_2 , $t_2 > t_3$, $t_3 > t_4$ and $t_4 > t_1$ which is impossible. Now make the substitution $K_{\text{ret}} = K_+ - K_x$ in (9). You get either all K_+ in each factor, which is the closed loop we want; or at least one K_x , which are represented by tree diagrams. Since the sum is zero, closed loops can be represented as integrals over tree diagrams. I was surprised I had never noticed this thing before.

Well, then I checked whether these diagrams of Fig. 4 when opened into trees agreed with the theorem. I mean I hoped that the theorem proved for other meson theories would agree in principle for the gravity case, such that on opening a virtual graviton line the tree would correspond to forward scattering of free graviton waves. And it does not work in the gravity case. But, you say, how could it fail, after you just demonstrated that it ought to work? The reason it fails is the following: This argument has to do with the position of the poles in the propagators; a typical propagator is a factor $1/(k^2 - m^2 + i\epsilon)$, the $+i\epsilon$ due to the poles, and all I'm doing here is changing the rule about the poles and picking up an extra delta function $\delta(k^2 - m^2)$ as a consequence, which is the free wave coming in and out. What I want these free waves to represent in the gravity case are physical gravitons and not something wrong. They do represent waves of $q^2 = 0$ of course, but, as it turns out, not with the correct polarization to be free gravitons. I'd like to show it. It has to do with the numerator, not the denominator. You see the propagator that I wrote before, which was $S_{\mu\nu}$ times $1/(k^2 + i\epsilon)$ times $\bar{S}'_{\mu\nu}$, is being replaced by $S_{\mu\nu} \delta(q^2) \bar{S}'_{\mu\nu}$. Now when I make $q^2 = 0$ I have a free wave instead of arbitrary momentum. This should be a real graviton or else there's going to be physical trouble. It isn't; although it is of zero momentum, it is not transverse. It does not make any difference in understanding the point so forget one index in $S_{\mu\nu}$ — it's a lot of extra work to carry the other index so just imagine there's one index: $S_\mu S'_\mu \delta(q^2)$. This combination $S_\mu S'_\mu$ is $S_4 S'_4 - S_3 S'_3 - S_1 S'_1 - S_2 S'_2$, where 4 is the time and 3 is the direction, say, of momentum of the four-vector q . Then 1 and 2 are transverse, and those are the only two we want. (Please appreciate I removed one index — I can make it more elaborate, but it is the same idea.) That is we want only $-S_1 S'_1 - S_2 S'_2$ instead of the sum over four. Now what about this extra term $S_4 S'_4 - S_3 S'_3$? Well, it is $S_4 - S_3$ times $S'_4 + S'_3$ plus $S_4 + S_3$ times $S'_4 - S'_3$. But $S_4 - S_3$ is proportional to $q_\mu S_\mu$ (suppressing one index) because q_4 in this notation is the frequency and equals q_3 , if we assume the 3-direction is the direction of the momentum. So $S_4 - S_3$ is the response of the system to a gradient

potential, which we proved was zero in our invariance discussion. Therefore, we have shown $(S_4 - S_3)/(S'_4 + S'_3) = 0$ and this should be accounted for by purely transverse wave contributions. But it isn't, and it isn't because *the proof that the response to a gradient potential is zero required that the other particle that was interacting was an honest free graviton*. And four plus three in $S'_4 + S'_3$ is not honest — it's not transverse, it is not a correct kind of graviton. You see, the only way you can get a polarization 4+5 going in the 4-3 direction is to have what I call longitudinal response; it's not a transverse wave. Such a wave could only be generated by an artificial source here of some silly kind; it is not a free wave. When there's an artificial source for one graviton, even the another is a pure gradient, the sum of all the diagrams does not give zero. If the beam is not exactly that of a free wave, perfectly transverse and everything, the argument that the gradient has to be zero must fail, for the reason outlined previously.

Although this gradient for $S_4 - S_3$ is what I want and I hoped it was going to be zero I forgot that the other end of it — $S'_4 + S'_3$ is a funny wave which is not a gradient, and which is not a free wave — and therefore you do not get zero and should not get zero, and something is fundamentally wrong.

Incidentally I investigated further and discovered another very interesting point. There is another theory, more well-known to meson physicists, called the Yang-Mills theory, and I take the one with zero mass; it is a special theory that has never been investigated in great detail. It is very analogous to gravitation; instead of the coordinate transformation group being the source of everything, it's the isotopic spin rotation group that's the source of everything. It is a non-linear theory, that's like the gravitation theory, and so forth. At the suggestion of Gell-Mann I looked at the theory of Yang-Mills with zero mass, which has a kind of gauge group and everything the same; and found exactly the same difficulty. And therefore in meson theory it was not strictly unknown difficulty, because it should have been noticed by meson physicists who had been fooling around the Yang-Mills theory. They had not noticed it because they're practical, and the Yang-Mills theory with zero mass obviously does not exist, because a zero mass field would be obvious; it would come out of nuclei right away. So they didn't take the case of zero mass and investigate it carefully. But this disease which I discovered here is a disease which exist in other theories. So at least there is one good thing: gravity isn't alone in this difficulty. This observation that Yang-Mills was also in trouble was of very great advantage to me; it made everything much easier in trying to straighten out the troubles of the preceding paragraph, for several reasons. The main reason is if you have two examples of the same disease, then there are many things you don't worry about. You see, if there is something different in the two theories it is not caused by that. For example, for gravity, in front of the second derivatives of $g_{\mu\nu}$ in the Lagrangian there are other g 's, the field itself. I kept worrying something was going to happen from that. In the Yang-Mills theory this is not so, that's not the cause of the trouble, and so on. That's one advantage — it limits the number of possibilities. And the second great advantage was that the Yang-Mills theory is enormously easier to compute with than the gravity theory, and therefore I continued most of my investigations on the Yang-Mills theory, with the idea, if I ever cure that one, I'll turn around and cure the other. Because I can demonstrate one thing; line for line it's a translation like music transcribed to a different

score; everything has its analogue precisely, so it is a very good example to work with. Incidentally, to give you some idea of the difference in order to calculate this diagram Fig. 4b the Yang-Mills case took me about a day; to calculate the diagram in the case of gravitation I tried again and again and was never able to do it; and it was finally put on a computing machine—I don't mean the arithmetic, I mean the algebra of all the terms coming in, just the algebra; I did the integrals myself later, but the algebra of the thing was done on a machine by John Matthews, so I couldn't have done it by hand. In fact, I think it's historically interesting that it's the first problem in algebra that I know of that was done on a machine that has not been done by hand.

Well, what then, now you have the difficulty; how do you cure it? Well I tried the following idea: I assumed the tree theorem to be true, and used it in reverse. If every closed ring diagram can be expressed as trees, and if trees produce no trouble and can be computed, then all you have to do is to say that the closed loop diagram is the sum of the corresponding tree diagrams, that it should be. Finally in each tree diagram for which a graviton line has been opened, take only real transverse graviton to represent that term. This then serves as the definition of how to calculate closed-loop diagrams; the old rules, involving a propagator $1/k^2 + i\epsilon$ etc. being superseded. The advantage of this is, first, that it will be gauge invariant, second, it will be unitary, because unitarity is a relation between a closed diagram and an open one, and is one of the class of relations I was talking about, so there's no difficulty. And third, it's completely unique as to what the answer is; there's no arbitrary fiddling around with different gauges and so forth, in the inside ring as there was before. So that's the plan.

Now, the plan requires, however, one more point. It's true that we proved here that every ring diagram can be broken up into a whole lot of trees; but, a given tree is not gauge invariant. For instance the tree diagram of Fig. 2A is not. Each one of the four diagrams of Fig. 2 is not gauge-invariant, nor is any combination of them except the sum of all four. So the thing is the following. Suppose I take all the processes, all of them that belong together in a given order; for example, all the diagrams of fourth order, of which Fig. 4 illustrates three; I break the whole mess into trees, lots of trees. Then I must gather

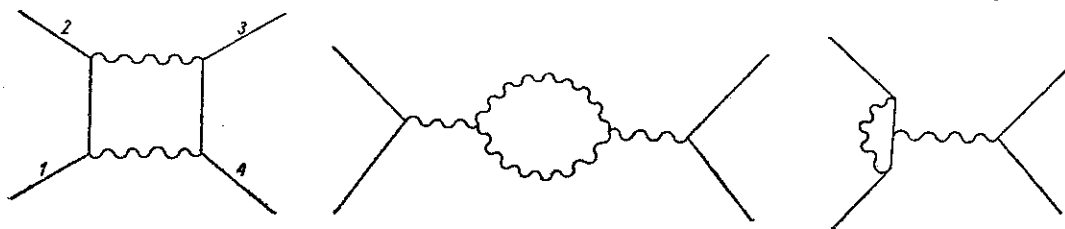


Fig. 5

the trees into baskets again, so that each basket contains the total of all of the diagrams of some specific process (for example the four diagrams of Fig. 2), you see, not just some particular tree diagram but the complete set for some process. The business of gathering the tree diagrams together in bunches representing all diagrams for complete processes is important, for only such a complete set is gauge invariant. The question is: Will any odd tree dia-

grams be left out or can they all be gathered into processes? The question is: Can we express the closed ring diagrams for some process into a sum over various other processes of tree diagrams for these processes?

Well, in the case with one ring only, I am sure it can be done, I proved it can be done and I have done it and it's all fine. And therefore the problem with one ring is fundamentally solved; because we say, you express it in terms of open parts, you find the processes that they correspond to, compute each process and add them together.

You might be interested in what the rule is for one ring; it's the sum of several pieces: first it is the sum of all the processes which you get in the lower order, in which you scatter one extra particle from the system. For instance, in Fig. 4 we have the rings for two particles scattering. There is no external graviton but there are two internal ones; now we compute in the same order a new problem in which there are two particles scattering, but while that's happening another particle, for example a graviton scatters forward. Some of the diagrams for this are illustrated in Fig. 5. State f the same state as g ; so another graviton comes in and is scattered forward. In other words we do the forward scattering of an extra graviton. In addition, from breaking matter lines we have terms for the forward scattering of an extra positron, plus the forward scattering of an extra electron, and so on; one adds the forward scattering of every possible extra particle together. That is the first contribution. But when you break up the trees, you also sometimes break two lines, and then you get diagrams like Fig. 6 with two extra particles scattering (here a graviton and electron) so it turns out you must now subtract all the diagrams with two extra particles of all kinds scattering. Then add all diagrams with 3 extra particles scattering and so on. It's a nice rule, it's quite beautiful; it took me quite a while to find; I have other proofs for other cases that are easy to understand.

Now, the next thing that anybody would ask which is a natural, interesting thing to ask, is this. Is it possible to go back and to find the rule by which you could have integrated the closed rings directly? In other words, change the rule for integrating the closed rings, so that when you integrate them in a more natural fashion, with the new method, it will

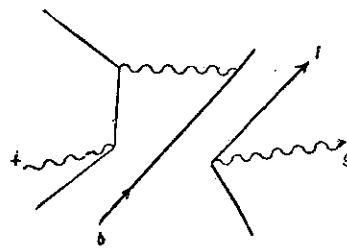


Fig. 6

give the same answer as this unique, absolute, definite thing of the trees. It's not necessary to do this, because, of course, I've defined everything; but it's of great interest to do this, because maybe I'll understand what I did wrong before. So I investigated that in detail. It turns out there are two changes that have to be made — it's a little hard to explain in

terms of the gravitation of which I'll only tell about one. Well, I'll try to explain the other, but it might cause some confusion. Because I have to explain in general what I'm doing when I do a ring. Most what it corresponds to is this: first you subtract from the Lagrangian this

$$\int \sqrt{g} \bar{H}^{\mu\nu}{}_{;\nu} H_{\mu;\sigma}^\sigma d\tau.$$

In that way the equation of motion that results is non-singular any more. Let me write what it really is so that there's no trouble. You say to me what is this, there's a g in it and an H in it? Yes. In doing a ring, there's a field variation over which you're integrating, which I call H ; and there's a g — which is the representative of all the outside disturbances which can be summarized as being an effective external field g . And so you add to the complicated Lagrangian that you get in the ordinary way an extra term, which makes it no longer singular. That's the first thing; I found it out by trial and error before, when I made it gauge invariant. But then secondly, you must subtract from the answer, the result that you get by imagining that in the ring which involves only a graviton going around, instead you calculate with a different particle going around, an artificial, dopey particle is coupled to it. It's a vector particle, artificially coupled to the external field, so designed as to correct the error in this one. The forms are evidently invariant, as far as your g -space is concerned; these are like tensors in the g world; and therefore it's clear that my answers are gauge invariant or coordinate transformable, and all that's necessary. But are also quantum-mechanically satisfactory in the sense that they are unitary.

Now, the next question is, what happens when there are two or more loops? Since I only got this completely straightened out a week before I came here, I haven't had time to investigate the case of 2 or more loops to my own satisfaction. The preliminary investigations that I have made do not indicate that it's going to be possible so easily gather the things into the right barrels. It's surprising, I can't understand it; when you gather the trees into processes, there seems to be some loose trees, extra trees. I don't understand them at the moment, and I therefore do not claim that this method of quantization can be obviously and evidently carried on to the next order. In short, therefore, we are still not sure, of the radiative corrections to the radiative corrections to the Lamb shift, the uncertainty lies in energies of the order of magnitude of 10^{-255} rydbergs. I can therefore relax from the problem, and say: for all practical purposes everything is all right. In the meantime, unfortunately, although I could retire from the field and leave you experts who are used to working in gravitation to worry about this matter, I can't retire on the claim that the number is so small and that the thing is now really irrational, if it was not irrational before. Because, unfortunately, I also discovered in the process that the trouble is present in the Yang-Mills theory; and secondly I have incidentally discovered a tree-ring connection which is of very great interest and importance in the meson theories and so on. And so I'm stuck to have to continue this investigation, and of course you all appreciate that this is the secret reason for doing any work, no matter how absurd and irrational and academic it looks; we all realize that no matter how small a thing is, if it has physical interest and is thought about carefully enough, you're bound to think of something that's good for something else.

DISCUSSION

Møller: May I, as a non-expert, ask you a very simple and perhaps foolish question. Is this theory really Einstein's theory of gravitation in the sense that if you would have here many gravitons the equations would go over into the usual field equations of Einstein?

Feynman: Absolutely.

Møller: You are quite sure about it?

Feynman: Yes, in fact when I work out the fields and I don't say in what order I'm working, I have to do it in an abstract manner which includes any number of gravitons; and then the formulas are definitely related to the general theory's formulas; and the invariance is the same; things like this that you see labelled as loops are very typical quantum-mechanical things; but even here you see a tendency to write things with the right derivatives, gauge invariant and everything. No, there's no question that the thing is the Einsteinian theory. The classical limit of this theory that I'm working on now is a non-linear theory exactly the same as the Einsteinian equations. One thing is to prove it by equations; the other is to check it by calculations. I have mathematically proven to myself so many things that aren't true. I'm lousy at proving things — I always make a mistake. I don't notice when I'm doing a path integral over an infinite number of variables that the Lagrangian does not depend upon one of them, the integral is infinite and I've got a ratio of two infinities and I could get a different answer. And I don't notice in the morass of things that something, a little limit or sign, goes wrong. So I always have to check with calculations; and I'm very poor at calculations — I always get the wrong answer. So it's a lot of work in these things. But I've done two things. I checked it by the mathematics, that the forms of the mathematical equations are the same; and then I checked it by doing a considerable number of problems in quantum mechanics, such as the rate of radiation from a double star held together by quantum-mechanical force, in several orders and so on, and it gives the same answer in the limit as the corresponding classical problem. Or the gravitational radiation when two stars — excuse me, two particles — go by each other, to any order you want (not for stars, then they have to be particles of specified properties; because obviously the rate of radiation of the gravity depends on the give of the starstides are produced). If you do a real problem with real physical things in in then I'm sure we have the right method that belongs to the gravity theory. There's no question about that. It can't take care of the cosmological problem, in which you have matter out to infinity, or that the space is curved at infinity. It could be done I'm sure, but I haven't investigated it. I used as a background a flat one way out at infinity.

Møller: But you say you are not sure it is renormalizable.

Feynman: I'm not sure, no.

Møller: In the limit of large number of gravitons this would not matter?

Feynman: Well, no; you see, there is still a classical electrodynamics; and it's not got to do with the renormalizability of quantum electrodynamics. The infinities come in different places. It's not a related problem.

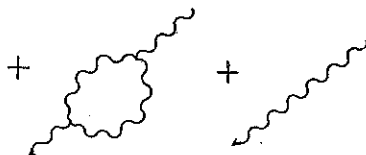
Rosen: I'm not sure of this, not being one of the experts; but I have the impression that because of the non-linearity of the Einstein equations there exists a difficulty of the

following kind. If the linear equations have a solution in the form of an infinite plane monochromatic wave, there does not seem to correspond to that a more exact solution; because you get piling up of energies in space and the solution then diverges at infinity. Could that have any bearing on the accuracy of this kind of calculation?

Feynman: No, I take that into account by a series of corrections. A single graviton is not the same thing as an infinite gravitational wave, because there's a limited energy in it. There's only one $\hbar\omega$.

Rosen: But you're using a momentum expansion which involves infinite waves.

Feynman: Yes, there are corrections. You see what happens if one calculates the corrections. If you have here a graviton coming in this way, then there are corrections for such a ring as this and so on. And these produce first, a divergence as usual; but second, a term in the logarithm of q^2 ; which means that if this thing is absolutely a free plane



wave, there's no meaning to the correction. So it must be understood in this way, that the thing was emitted some time far in the past, and is going to be absorbed some time in the future; and has not absolutely been going on forever. Then there's a very small coefficient in front of the logarithm and then for any reasonable q^2 , like the diameter of the universe or something, I can still get a sensible answer; this is the shadow of the phenomenon you're talking about, that the corrections to the propagation of a graviton, dependent on the logarithm of the momentum squared carried by the graviton and which would be infinite if it were really a zero momentum graviton exactly. And so a free graviton just like that does not quite exist. And this is the correction for that. Strictly we would have to work with wave packets, but they can be of very large extent compared to the wave length of the gravitons.

Anderson: I'd like to ask if you get the same difficulty in the electromagnetic case that you did in the Yang-Mills and gravitational cases?

Feynman: No, sir, you do not. Gauge invariance of diagrams such as Fig. 2 (there is no $2D$) is satisfied whether b is a free wave or not. That is because photons are not the source of photons; they are uncharged.

Anderson: The other thing I would like to suggest is that in putting of things into baskets, you might be able to get easily by always only starting out with vacuum diagrams and opening those successively.

Feynman: I tried that and it didn't go successfully.

Ivanenko: If I understood you correctly, you had used in the initial presentation the transmutation of two particles into gravitons. Yes?

Feynman: It was one of the examples.

Ivanenko: Yes. This process was considered, perhaps in a preliminary manner, by ourselves and by Prof. Weber and Brill. I ask you two questions. Do you possess the effective cross-section? Can you indicate the effects for which high-energy processes play an important role?

Feynman: I never went to energies more than one billion-billion BeV. And then the cross-sections of any of these processes are infinitesimal.

Ivanenko: They increase very, very sharply with energy. Yes, because the radiation is quadrupole, so it increases sharply in contrast to the electromagnetic transmutation of an electron-positron pair.

Feynman: It increases very sharply indeed. On the other hand, it starts out so low that one has to go pretty far to get anywhere. And the distance that you have to go is involved in this thing — the thing that's the analogue of $e^2/\hbar c$ in electricity, which is $1/137$ is non-existent in gravitation; it depends on the problem; this is so because of the dimensions of G . So if E is the energy of some process, then if you take $GE^2/\hbar c$ you get an equivalent to this $e^2/\hbar c$. It may be less than that, but at least it can't be any bigger than this. So in order to make this thing to be of the order of 1%, in which case the rate is similar to the rate of photon annihilation, at ordinary energies, we need the GE^2 to be of the order of $\hbar c$, and as has been pointed out many times, that's an energy of the order 10^{-5} grams, which is 10^{18} BeV. You can figure out the answer right away; just take the energy that you are interested in, square, multiply by G and divide by $\hbar c$; if that becomes something, then you're getting somewhere. You still might not get somewhere, because the cross-section might not go up that fast, but at least it can't get up any worse than that. So I think that in order to get an appreciable effect, you've got to go to ridiculous energies. So you either have a ridiculously small effect or a ridiculous energy.

Weber: I have a cross-section which may be a partial answer to Ivanenko's question. Could I write it on the board? We have carried out a canonical quantization, which is not as fancy as the one you have just heard about; but considering the interaction of photons and gravitons; and it turns out that even in the linear approximation that one has the possibility of the graviton production by scattering of photons in a Coulomb field. And the scattering cross-section for this case turns out to be $8\pi^2$ times the constant of gravitation times the energy of the scatterer times the thickness of the scatterer in the direction of propagation of the photon through it divided by c^4 . This assumes that all of the dimensions of the scatterer are large in comparison with the wave length of the photon. We obtained this result by quantization, and noticed that it didn't have Planck's constant in it, so we turned around and calculated it classically. Now, if one puts numbers in this, one finds that the scattering cross-section of a galaxy due to a uniform magnetic field through it is 10^{28} cm², a much larger number than the object that you talked about. This represents a conversion of photons into gravitons of about 1 part in 10^{16} . This is of course too small to measure. Also, we considered the possibility of using this cross-section for a laboratory experiment in which one had a scatterer consisting, say of a million gauss magnetic field over something like a cubic meter. This turned out to be entirely impossible, a result in total contradiction to what has appeared in the Russian literature. In fact, the theory of fluctuations shows that for a laboratory experiment involving the production of gravitons

by scattering of photons in a Coulomb field, the scattered power has to be greater than twice the square root of kT times the photon power divided by the averaging time of the experiment. I believe that the incorrect results that have appeared in the literature have been due to the statement that ΔP has to be greater than kT over τ ; dimensionally these things are the same, but order of magnitude-wise this kind of experiment for the scatterer of which I spoke requires something like 10^{50} watts. Maybe I can say something about this afternoon; I don't want to take any more time.

De Witt: I should like to ask Prof. Feynman the following questions. First, to give us a careful statement of the tree theorem; and then outline, if he can to a brief extent, the nature of the proof of the theorem for the one-loop case, which I understand does work. And then, to also show in a little bit more detail the structure and nature of the fictitious particle needed if you want to renormalize everything directly with the loops. And if you like, do it for the Yang-Mills, if things are prettier that way.

Feynman: I usually don't find that to go into the mathematical details of proofs in a large company is a very effective way to do anything; so, although that's the question that you asked me — I'd be glad to do it — I could instead of that give a more physical explanation of why there is such a theorem; how I thought of the theorem in the first place, and things of this nature; although I do have a proof — I'm not trying to cover up.

De Witt: May we have a statement of the theorem first?

Feynman: That I do not have. I only have it for one loop, and for one loop the careful statement of the theorem is... — look, let me do it my way. First — let me tell you how I thought of this crazy thing. I was invited to Brussels to give a talk on electrodynamics — the 50th anniversary of the 1911 Solvay Conference on radiation. And I said I'd make believe I'm coming back, and I'm telling an imaginary audience of Einstein, Lorentz and so on what the answer was. In other words, there are going to be intelligent guys, and I'll tell them the answer. So I tried to explain quantum electrodynamics in a very elementary way, and started out to explain the self-energy, like the hydrogen Lamb shift. How can you explain the hydrogen Lamb shift easily? It turns out you can't at all — they didn't even know there was an atomic nucleus. But, never mind. I thought of the following. I would explain to Lorentz that his idea that he mentioned in the conference, that classically the electromagnetic field could be represented by a lot of oscillators was correct. And that Planck's idea that the oscillators are quantized was correct, and that Lorentz's suggestion, which is also in that thing, that Planck should quantize the oscillators that the field is equivalent to, was right. And it was really amusing to discover that all that was in 1911. And that the paper in which Planck concludes that the energy of each oscillator was not $n\hbar\omega$ but $(n+1/2)\hbar\omega$ which was also in that, was also right; and that this produced a difficulty, because each of the harmonic oscillators of Lorentz in each of the modes had a frequency of $\hbar\omega/2$ which is an infinite amount of energy, because there are an infinite number of modes. And that that's a serious problem in quantum electrodynamics and the first one we have to remove. And the method we use to remove it is to simply redefine the energy so that we start from a different zero, because, of course, absolute energy doesn't mean anything. (In this gravitational context, absolute energy does mean something, but it's one of the technical points I can't discuss, which did require a certain skill to get rid of, in making

a gravity theory; but never mind.) Now look — I make a little hole in the box and I let in a little bit of hydrogen gas from a reservoir; such a small amount of hydrogen gas, that the density is low enough that the index of refraction in space differs from one by an amount proportional to A , the number of atoms. With the index being somewhat changed, the frequency of all the normal modes is altered. Each normal mode has the same wavelength as before, because it must fit into the box; but the frequencies are all altered. And therefore the $\hbar\omega$'s should all be shifted a trifle; because of the shift of index, and therefore there's a slight shift of the energy. Although we subtract $\hbar\omega/2$ for the vacuum, there's a correction when we put the gas in; and this correction is proportional to the number of atoms, and can be associated with an energy for each atom. If you say, yes, but you had that energy already when you had the gas in back in the reservoir, I say, but let us only compare the difference in energy between the $2S$ and $2P$ state. When we change the excitation of the hydrogen gas from $2S$ to $2P$ then it changes its index without removing anything; and the energy difference that is needed to change the energy from $2S$ to the $2P$ for all these atoms is not only the energy that you calculate with disregard of the zero point energy; but the fact is that the zero point energy is changed very slightly. And this very slight difference should be the Lamb effect. So I thought, it's a nice argument; the only question is, is it true. In the first place it's interesting, because as you well know the index differs from one by an amount which is proportional to the forward scattering for γ rays of momentum k and therefore that shift in energy is essentially the sum over all momentum states of the forward scattering for γ rays of momentum k . So I looked at the forward scattering and compared it with the right formula for the Lamb shift, and it was not true, of course; it's too simple an argument. But then I said, wait, I forgot something. Dirac, explained to us that there are negative energy states for the electron but that the whole sea of negative energy states is filled. And, of course, if I put the hydrogen atoms in here all those electrons in negative energy states are also scattering off the hydrogen atoms; and therefore their states are all shifted; and therefore the energy levels of all those are shifted a tiny bit. And therefore there's shift in the energy due to those. And so there must be an additional term which is the forward scattering of positrons, which is the same as scattering of negative energy electrons. Actually, for the symmetry of things it is better to take half the case where you make the positrons the holes and the other half where you make the electrons the holes; so it should be $1/2$ forward scattering by electrons, $1/2$ scattering by positrons and scattering by γ rays — the sum of all those forward scattering amplitudes ought to equal the self-energy of the hydrogen atom. And that's right. And it's simple, and it's very peculiar. The reason it's peculiar is that these forward scatterings are real processes. At last I had discovered a formula I had always wanted, which is a formula for energy differences (which are defined in terms of virtual fields) in terms of actual measurable quantities, no matter how difficult the experiment may be — I mean I have to be able to scatter these things. Many times in studying the energy difference due to electricity (I suppose) between the proton and the neutron, I had hoped for a theorem which would go something like this — this energy difference between proton and neutron must be equal to the following sum of a bunch of cross-sections for a number of processes, but all real physical processes, I don't care how hard they are to measure. So this is the beginning of such a formula. It's rather surprising.

It's not the same as the usual formula — it's equal to it but it's not the same. I have no formulation of the laws of quantum gravodynamics; I have a proposal on how to make the calculations. When I make the proposal on how to do the closed loops, the obvious proposal does not work; it gives non-unitarity and stuff like that. So the obvious proposal is no good; it works O.K. for trees; so how am I going to define the answer for would correspond to a ring? The one I happen to have chosen is the following: I take the ring in general for any meson theory, one closed ring can be written as equivalent to a whole lot of processes each one of which is trees. I then define, as my belief as to what the ring ought to be in the grand theory, that it's going to be also equal to the corresponding physical set of trees. When I said this is equal to this. I didn't worry about gauge or anything else; what I means was, if these weren't gravitons but photons or any other neutral object — it doesn't make any difference what they are — this theorem is right. So I suppose it's right also for real gravitons, and I suppose also that what's being scattered is only transverse and is only a real free graviton with $q^2 = 0$. Therefore, I say let this ring equal this set of trees. Every one of these terms can be completely computed — it's a tree. And it's gauge invariant; that is, if I added an extra potential on the whole thing, another outside disturbance of a type which is nothing but a coordinate transformation — in short a pure gradient wave — to the whole diagram then it comes on to all of these processes; but it makes no effect on any of them, and therefore makes no effect on the sum; and therefore I know my definition of this ring is gauge-invariant. Second, unitarity is a property of the breaking of this diagram; the imaginary part of this equals something; if you take the imaginary part of this side, it's already broken up, in fact, and you can prove immediately that it's the correct unitarity rule. Therefore it's going to be unitarity and so on and so on. And so I therefore define gravity with one ring in this way. Now what prevents me from doing it with two rings? The lack of a complet statement of what two rings is equal to in terms of processes; that is I can open the ring all right; but I can't put the pieces — the broken diagrams — back together again into complete sets that each one is a complete physical process. In other words some of them correspond to the scattering of a graviton, but leaving out some diagrams.*But the scattering of a graviton leaving out diagrams is no longer gauge invariant, I mean, not evidently gauge invariant, and so the power of the whole thing collapses. I don't know what to do with it. So that's the situation; that's why it is crucial to the particular plan. There's always, of course, another way out. And that's the following (and that's what I tried to describe at the end of the talk — maybe I talked too fast): After all now I've defined what this results is equal to — by definition not that you should do a loop some way and get this, but that a loop is equal to this by defition, and I'm not going to do a loop any other way. But, of course, from a practical point of view or from the point of view purely of interest, the question is, can you come back now and calculate the ring directly by some particular mathematical shenanigans, and get the same answer as you get by adding the trees. And I found the way to do that. I have another way, in other words, to do the ring integral directly. I have to subtract something from a vector particle going around the instead of a graviton to get the answer right. So I known the rule, and I know why the rule is, and I have a proof of the rule for one loop. I have two ways of extending. I can either break this two loop diagram open and get it back into the processes, like I did with the one ring — where so far I'm stuck. Or,

I can take the rule which I found here and try to guess the generalization for any number of rings. Also stuck. But I've only had a week, gentlemen; I've only been able to straighten out the difficulty of a single ring a week ago when I got everything cleaned up. It's more than a week — I had to take a lot of time checking and checking; but I was only finished checking to make sure of everything for this conference. And of course you're always asking me about the thing I haven't had time to make sure about yet, and I'm sorry; I worked hard to be sure of something, and now you ask me about those things I haven't had time. I hoped that I would be able to get it. I still have a few irons to try; I'm not completely stuck—maybe.

DeWitt: Because of the interest of the tricky extra particle that you mentioned at the end, and its possible connection, perhaps, with some work of Dr Białynicki-Birula, have you got far enough on that so that you could repeat it with just a little more detail? The structure of it and what sort of an equation it satisfies, and what is its propagator? These are technical points, but they have an interest.

Feynman: Give me ten minutes. And let me show how the analysis of these tree diagrams, loop diagrams and all this other stuff is done mathematical way. Now I will show you that I too can write equations that nobody can understand. Before I do that I should like to say that there are a few properties that this result has that are interesting. First of all in the Yang-Mills case there also exists a theory which violates the original idea of symmetry of the isotopic spin (from which was originally invented) by the simple assumption that the particle has a mass. That means to add to the Lagrangian a term $-\mu^2 a_\mu a^\mu$ where a_μ is an isotopic vector. You add this to the Lagrangian. This destroys the gauge invariance of the theory — it's just like electrodynamics with a mass, it's no longer gauge-invariant, it's just a dirty theory. Knowing that there is no such field with zero mass people say: „let's put the mass term on". Now when you put a mass term on it is no longer gauge invariant. But then it is also no longer singular. The Lagrangian is no longer singular for the same reason that it is not invariant. And therefore everything can be solved precisely. The propagator instead of being $\delta_{\mu\nu}$ between two currents is

$$\frac{\delta_{\mu\nu} - q_\mu q_\nu / \mu^2}{q^2 - \mu^2}, \quad (10)$$

where q_μ is the momentum of propagating particle. The factor $1/(q^2 - \mu^2)$ is typical for mass μ but the part $-q_\mu q_\nu / \mu^2$ is an important term which can be taken to be zero in electrodynamics but it is not obvious whether it can be taken to be zero in the case of Yang-Mills theory. In fact it has been proved it cannot be taken to be zero; this propagator is used between two currents. I am using the Yang-Mills example instead of the gravity example. I really want only the case $\mu^2 = 0$, and am asking whether I can get there by first calculating finite μ^2 , then taking the limit $\mu^2 = 0$.

Now, with $\mu^2 \neq 0$ this is a definite propagator and there are no ambiguities at the closed rings, the closed loops. I have no freedom, I must compute this propagator. I mean there is no reason for trouble, and there is no trouble. There is no gauge invariance either.

And of course I checked. I broke the rings and I computed by the broken ring theorem method a closed loop problem of fair complexity (which in fact was the interaction of two

electrons). I computed it by the open ring method and by the closed ring method, and of course it agreed, there is no reason that it shouldn't. It turned out that for tree diagrams you don't have to worry about this $q_\mu q_\nu / \mu^2$ term, you can drop it — but not for the closed ring — only for tree. Therefore the tree diagrams have the definite limit as μ^2 goes to zero. And yet I have the closed ring diagram which is equal to the tree diagram when the mass is anything but zero, and therefore it ought to be true that the limit as μ^2 goes to zero of the ring is equal to the case when $\mu = 0$. It sounds like a great idea why don't you define the desired $\mu^2 = 0$ theory that way? Answer: You can't put μ^2 equal zero in the form (10). You can't do it because of the $q_\mu q_\nu / \mu^2$. So it was necessary next to see if there is a way to re-express the ring diagrams, for the case with $\mu^2 \neq 0$, in a new form with a propagator different from (10), that didn't have a μ^2 in it, in such a form that you can take the limits as μ^2 goes to zero. Then that would be a new way to do the μ equal zero case; and that's the way I found the formula. I'll try to explain how to find that theory.

We start with a definite theory, the Yang-Mills theory with a mass (the reason I do that is that there's no ambiguity about what I am trying to do) and later on I take the mass to zero, then the theory works something like this. You have the Lagrangian $\mathcal{L}(A, \varphi)$ which involves the vector potential of this field and the fields φ representing the matter with which this object is interacting for zero mass, to which, for finite mass we add the term $\mu^2 A_\mu A_\mu$. This is the Lagrangian that has to be integrated and the idea is that you integrate this over all fields A and φ ; and that is the answer for the amplitude of the problem

$$X = \int e^{\int \mathcal{L}(A, \varphi) d\tau + \mu^2 A_\mu A_\mu d\tau} D A D \varphi. \quad (11)$$

But wait, what about the initial and final conditions? You have certain particles coming in and going out. To simplify things (this is not essential) I'll just study the case that corresponds only to gravitons in and out. I'll call them gravitons and mesons even though they are vector particles. The question is first, what is the right answer if you have gravitons represented by plane waves, $A_1, A_2, A_3 \dots$ going in (positive frequency in A_1) or out (negative frequency). You make the following field up. Let A_{asym} be defined as α times the wave function A_1 that represents the first graviton coming in a plane wave, plus β times A_2 plus γ times A_3 and so on.

$$A_{\text{asym}} = \alpha A_1 + \beta A_2 + \gamma A_3 \dots, \quad A \rightarrow A_{\text{asym}}. \quad (12)$$

Then you calculate this integral (11) subject to the condition that A approaches A_{asym} at infinity. The result of this is of course a function of $\alpha, \beta, \gamma \dots$ and so on. Then what you want for X is just the term first order in $\alpha, \beta, \gamma \dots$. That means just one of each these gravitons coming in and out. That's the right formula for a regular theory, for meson theory, You calculate the integral subject to the asymptotic condition, when you imagine all these waves, but you take the first order perturbation with respect to each one of the incoming waves. You never let the same photon operate twice; a photon operating twice is not a photon, it is a classical wave. So you take the derivative of this with respect to α, β, γ and so on, then setting them all equal to zero. That's problem. (In general there's φ asymptotic too.)

Now the way I happened to do this is the following: Let us call A_0 the A which satisfies the classical equations of motion, which in this particular case will be

$$\left. \frac{\partial \mathcal{L}}{\partial A} \right|_{A^0} + \mu^2 A^0 = 0 \quad (13)$$

I solve this subject to the condition that A_0 equals A_{asym} . In other words, I find what is the maximum or minimum — whatever it is — of the action in (11), subject to the asymptotic condition. That's the beginning of analysing this.

The next thing is to make the simple substitution $A = A_0 + B$ and put it back in equation (11). Then if you take \mathcal{L} of $A_0 + B$ (if B is negligible you get \mathcal{L} of A_0 and so forth) so you get something like this

$$e^{i[\mathcal{L}(A_0) + \mu^2 A_0 A_0]} \int e^{i[\mathcal{L}(A_0 + B) - \mathcal{L}(A_0)] + \mu^2 B B + 2\mu^2 A_0 B} DB. \quad (14)$$

The integral is over all B , and B must go to zero asymptotically. This business can be expanded in powers of B .

$$\mathcal{L}(A+B) - \mathcal{L}(A) + \mu^2 B B + 2\mu^2 A_0 B = \text{Quad}(B) + \text{Cubic}(B) + \dots + \mu^2 B B. \quad (15)$$

The zeroth power B is evidently zero. The first power of B is also zero because A_0 minimized the original thing. So this starts out quadratic in B plus cubic in B plus *etc.*, that's what this is here. These quadratic forms $\text{Quad}(B)$ and so on of course depend on A_0 , the cubic form involves A_0 in some complex, maybe very complicated, locked-up mess, but as far as B is concerned it is second power and higher powers.

Now I would like to point something out. First — it turns out if you analyze it, that the contribution of the first factor here alone (if you had forgotten the integral and called it one) is exactly the contribution of all trees to the problem. So that's like the classical theories related to trees. Next, if you drop the term cubic in B in the exponent completely and just integrated the result over DB , that corresponds to the contribution from one ring, or from two isolated rings, or three isolated rings, but not interlocked rings. If you start to include the cubic term it has to come in a second power to do anything, because of the evenness and oddness of function. And as soon as it comes in second power, the cubic term, having three of these things come together twice, makes a terrible thing like ∞ which is a double ring. So you don't get to a double ring until you bring a cubic term down to the second order. So if I disregard that and just work with this second order term $\text{Quad}(B) + \mu^2 B B$, I'm studying the contribution from one ring. If I study this I am working from the trees. And now you see I have in my hands an expression for the contribution of a ring correct in all orders no matter how many lines come in. I also have expressions for the contributions from trees and so on. I can compare them in different mathematical circumstances, and it's on this basis that I have been able to prove everything I have been able to prove relating one ring to trees.

Now, let me explain how the theorem was obtained that takes the case for the mass and for a ring. Now we have to discuss a ring, which is a formula like this

$$X = \int e^{i(\text{Quad}(B) + \mu^2 B^2)} DB. \quad (15)$$

The quadratic form involves A_0 so the answer depends on A_0 — it's some complicated functional of A_0 . Anyway I won't say that all the time, I'll just remember that. We have to integrate over all B . And the difficulty is — not difficulty, but the point is — that this quadratic form in B is singular, because it came from the piece of the action that has an invariance and this invariance keeps chasing us along. And there are certain transformations of B which leave this Quad B part unchanged in first order. That transformation in the Yang-Mills theory is

$$\vec{B}'_\mu = \vec{B}_\mu + \vec{\nabla} \alpha + (\vec{\alpha} \times \vec{A}) = \vec{B}_\mu + \alpha_{;\mu} \quad (16)$$

where the vectors are in isotopic spin space and α is considered as first order. This transformation leaves the quadratic form invariant so the Quad (B) thing by itself is singular. But it doesn't make any difference, because of the addition of the $\mu^2 BB$. If $\mu^2 \neq 0$, there is no problem, but if $\mu^2 \rightarrow 0$, I'd be in trouble.

I discovered that if I make this change (16) in the actual Lagrangian and carry everything up to second order it is exact, in fact because it's only second order. If I do it with the exact change, the thing isn't invariant, it is only invariant to first order in α . But if I make the substitution exactly, then I get a certain addition to the Lagrangian, in other words the Lagrangian of B' (this includes the μ^2 , the Lagrangian plus the μ^2 term in B) is the Lagrangian plus the μ^2 term in B plus something like this

$$\mu^2 B_\mu \cdot \alpha_{;\mu} + \frac{1}{2} \mu^2 \alpha_{;\mu} \alpha_{;\mu}$$

I have to explain that the semicolon is analogous to the semicolon in gravity. The semicolon derivative $X_{;\mu}$ means the ordinary derivative of X minus A cross X and that's the analogue of the Christoffel symbols. Anyway, I find out what happens to L when I make this transformation. Now comes the idea, the trick, the nonsense: you start with the following thing; you, say, suppose instead of writing the original terms down, instead of writing the original Lagrangian I were to write the following:

$$\int e^{\mathcal{L}(B) + \frac{1}{2} (B_{\mu,\mu} - \alpha_{;\mu\mu} + \mu^2 \alpha^2)} \mathcal{D}\alpha \mathcal{D}B.$$

Now I say that the integral over α is some constant or other. So all I have done is to multiply my original integral by \mathcal{L} of B (by \mathcal{L} of B I mean the whole thing, I mean this whole thing is going to be \mathcal{L} of B). If I can claim that when I integrate α I get something which is independent of B , which is not self-evident. If I integrate over all α it does not look as if it is independent of B — but after a moment's consideration you see that it is. Because if I can solve a certain equation, which is $\alpha_{;\mu} - \mu^2 \alpha = B_\mu$, I can shift the value of α by that amount, and then this term would disappear. In other words if I can solve this, and call this solution α_0 and change α to α_0 , then the B would cancel and it would only be α' here. I did it a little abstractly which is a little easier to explain, therefore, this term that I've added can be thought of as an integral of the following nature: Integral of some B , plus an operator acting on α (this complicated operator is the second derivative and so on) squared $\mathcal{D}\alpha$. And then by that substitution I've just mentioned, this becomes equal to $1/2$ the operator on A

times α' squared $\mathcal{D}\alpha$, which is equal to the integral e to the one half of α times A , the operator A , times the operator A times α integrated over primed α . Now when you integrate a quadratic form, which is a quadratic with an operator like this you get one over the square root of the determinant of the operator. So this thing is one over the square root of the determinant of the operator AA . The determinant of the operator A times A is square of the determinant of A . So this is one over the determinant of the operator A , or better it is one over square root of the determinant of the operator A squared, you'll see in a minute why I like to write it in this way. In other words, when I've written this thing down I've written the answer that I want. Let's call X the unknown answer that I want. Then this is equal to X divided by this determinant's square root squared. Now comes the trick — I now make the change from B to B' . We notice that B changed to B' is simply... oh!, this is wrong, that's what's wrong, it should be just this. Now I've got it. The change from B' to B is to add something to B . Therefore to the differential of B it adds nothing, it's just shifting the B to a new value. So I make the transformation from B to B' everywhere. So then I have $d\alpha$ and dB , and now I have a new thing up here where I make use of the formula for \mathcal{L} of B' :

$$\mathcal{L}(B') = \mathcal{L}(B) + \mu^2 B_{,\mu} \alpha_{,\mu} + \frac{1}{2} \mu^2 \alpha_{,\mu} \alpha_{,\mu}$$

You see there is a certain cross term generated here and another cross term coming from expanding this out and the net result, with a little algebra here, is that becomes \mathcal{L} of B , but the quadratic term doesn't cancel out and is left; there's one half of $B_{,\mu} \mu$ squared; that's from this term; the cross term here cancels the cross term in there; and then we have only the quadratic — I mean the α terms

$$\int e^{\mathcal{L}(B) + \frac{1}{2} (B_{,\mu} \mu)^2} \mathcal{D}B e^{\frac{\mu^2}{2} (\alpha_{,\mu} \alpha_{,\mu} + \mu^2 \alpha^2)} \mathcal{D}\alpha.$$

And the problem is now to do this integral on α ; well, another miraculous thing happens. I have the operator A , but that this down thing is $\alpha A \alpha$, and therefore its result is just determinant once; or the square of this integral is equal to this determinant, or something like that. Therefore, when you get all the factors right, X , the unknown, is equal to

$$X = \left[\int e^{\mathcal{L}(B) + \frac{1}{2} (B_{,\mu} \mu)^2} \mathcal{D}B \right] : \left[\int e^{\frac{\mu^2}{2} (\alpha_{,\mu} \alpha_{,\mu} + \mu^2 \alpha^2)} \mathcal{D}\alpha \right].$$

Sachs: I want to ask a question about long-range hopes. Perhaps for irrational reasons people are particularly interested in those parts of the theory where is a possibility of real qualitative differences: what do the coordinates or topology mean in a quantized theory, and this kind of junk. Now I wonder if you think that this perturbation theory can eventually be jazzed up to cover also this kind of questions?

Feynman: The present theory is not a theory as it is incomplete. I do not give a rule on how to do all problems. I expect of course that if I spend more time on figuring out how to untangle the pretzels I shall be able to make it into such a theory. So let's suppose I did. Now you can ask the question would the completed job, assuming it exists, be of any interest to esoteric question about the quantization of gravity. Of course it would be, because it

would be the expression of the quantum theory; there is today no expression of the quantum theory which is consistent. You say: but it's perturbation theory. But it isn't. I worked on the thing analyzing it in the series of increasing accuracy, but that's only, obviously, when I am doing problems and checking, or doing things like I just did. But even there I haven't said how many times the vector potential A_0 is attacking the diagram, there is no limit to what order of external lines are involved in the calculation of A_0 , for example. And so if I get my general theorem for all orders, I'll have some kind of a formulation. The fact is, that in such things as electrodynamics and other theories, it has not been possible to figure out the consequences of the quantum field theory in the case of strong interactions, because of technical difficulties which are not technical difficulties just of the gravitation theory, but exist all over the quantum field theory. I do not expect that the gravitational problems will be any easier in that region than they are in any other field theory, so I can say very little there. But at least one should certainly formulate the theory that you're trying to calculate first, and then find out what the consequences are, before trying to do it the other way round. So I think that you'll be frustrated by the difficulties that do appear whenever any theory diverges. On other hand, if you ask about the physical significance of the quantization of geometry, in other words about the philosophy behind it; what happens to the metric, and all such questions, those I believe will be answerable, yes. I think you would be able to figure out the physics of it afterwards, but I won't to think about that until I have it completely formulated, I don't want to start to work out the answer to something unless I know what the equation is I am trying to analyze. But I don't have the doubt that you will be able to do something, because after all you are describing the phenomena that you would expect, and if you describe the phenomena then you expect you can then find some kind of framework in which to talk to help to understand the phenomena.