

VIETNAMESE ANAPHORA: AN ARGUMENT FOR COMPETITION-BASED BINDING THEORY ACCOUNTS



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OUTLOOK

Condition B of the Binding Theory (BT) prohibits coreference between a pronoun and an antecedent in its local domain. (Chomsky, 1986; Büring, 2005; a.o.)

We argue that:

- i) Vietnamese is not subject to a grammaticized Condition B in its classic form, and
- ii) Vietnamese supports competition-based accounts of Condition B (Rooryck & Vanden Wyngaerd, 2011; Safir, 2014).

BACKGROUND

Classic BT accounts (Chomsky, 1986; Büring, 2005; a.o.) treat Conditions A and B as universal, independent principles.

Competition-based BT accounts assume Condition A and model Condition B as a <u>side effect of the competition</u> between various pronominal forms (mainly reflexive vs. non-reflexive pronouns) for the same syntactic positions.

- → This approach dates back to Reinhart (1983, 2006): the choice of *himself* over bound *him* is an instance of "minimizing interpretative options". **Our proposal** follows and adapts Roelofsen (2010), a newer version of this pragmatic account.
- → More recent approaches extend Reinhart (1983, 2006) to pronominal competition applying at a semantic (Schlenker, 2005) or a syntactic level (Rooryck & Vanden Wyngaerd, 2011; Safir, 2004, 2014).
- \rightarrow Condition B is not hardwired in the pronominal forms of a language. "Dedicated reflexive pronouns", like *himself*, encode reflexivity, regular personal pronouns, like *him*, (may) only have ϕ -feature presuppositions.

Modelling Competition:

We merge **Rule I** (Grodzinsky & Reinhart, 1993), Roelofsen (2010)'s **Coreference Rule** and Gricean pragmatic reasoning, and we adopt the following competition model at the level of meaning (Ivan, 2018):

S: Luke blames himself.

(1) GRICEAN COREFERENCE RULE

If S and S' have indistinguishable interpretations in a context <u>C</u>, and the set of possible interpretations for S is a <u>proper subset</u> of the set of possible interpretations for S', speak S.

- (2) COMPETITION-BASED REASONING:
 - a. Luke blames himself.
 - → himself may only refer to a local antecedent (only Luke)
- b. Luke blames him.
 - → *him* may refer to any male in the context, including *Luke*.

S: Luke blames himself. blame(Luke, Ben) blame(Luke, Anakin) S': Luke blames him. blame(Luke, Obi-Wan) blame(Luke, Han)

The set of possible interpretations for **S** is a proper subset of those for **S**'.

- \rightarrow **S** must be spoken for *blame*(*Luke*, *Luke*).
- → By implicature, S' is taken to mean that Luke blamed some male other than himself.

VIETNAMESE VS. CLASSIC BT ACCOUNTS

Vietnamese is **not** subject to the classic versions of Conditions A and B.

- (3) a. Ben₁ nói là Luke₂ trách mình_{1/2}.

 Ben say that Luke blame SELF

 'Ben said that Luke blames himself / him.'
 - b. Ben₁ said that Luke₂ blames himself_{*1/2}.
- (4) a. Ben₁ nói là Luke₂ trách nó_{1/2}.

 Ben say that Luke blame 3SG

 'Ben said that Luke blames him / himself.'
 - b. **Ben**₁ said that Luke₂ blames **him**_{1/*2}.
- Unlike in English, *minh*, the equivalent of *himself*, **does not need** to be clause-bound.
- The personal pronoun $n\acute{o}$, the equivalent of him, can corefer with local antecedents.

VIETNAMESE VS. COMPETITION-BASED BT ACCOUNTS

Competition-based BT accounts predict that the presence or absence of Condition B effects depends on whether a language has a **dedicated reflexive form** (Rooryck & vanden Wyngaerd, 2011).

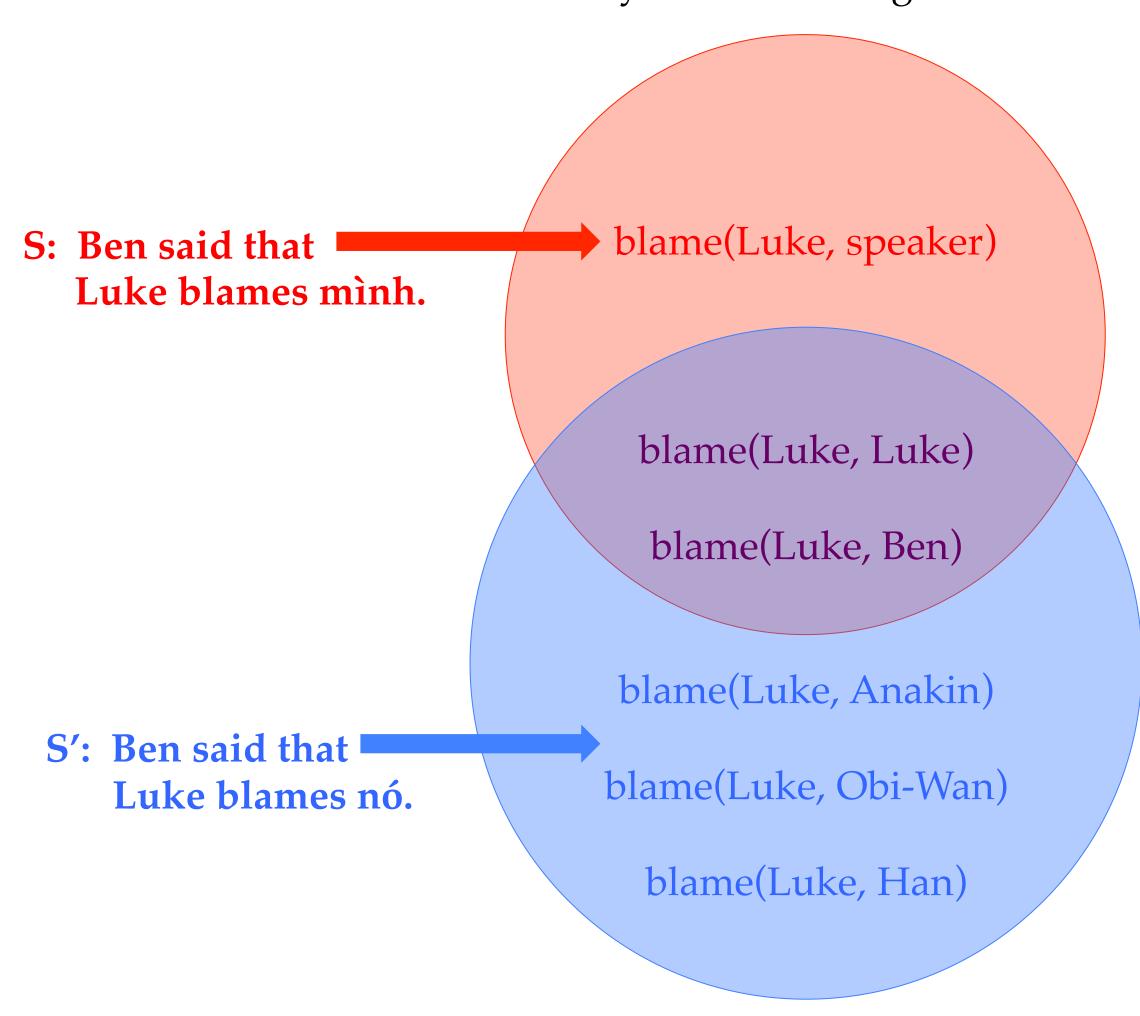
Recent data from Jambi (Cole, Hermon & Yanti, 2015) and Chamorro (Wagers, Chung & Borja, 2018) support this view: The absence of Condition B effects correlates with the absence of specialized reflexive anaphors.

We argue that this prediction is also met in Vietnamese: *mình* is not a dedicated reflexive, hence condition B effects are not predicted.

- (5) Ben₁ nói là **mình**₁ sẽ thắng. Ben say that SELF will win 'Ben₁ said that he₁ /I will win.'
- (5) illustrates *mình* is a logophor: It can pick out the perspective center (including the speaker), and either local or non-local antecedents.

mình is subject to a specialized discourse-related Condition A (Charnavel & Sportiche, 2016), but it is not a 'dedicated reflexive'. Its competition with the personal pronoun *nó* does not yield Condition B effects.

Under this view, the competition between the two pronouns never leads to a set-subset relation of possible interpretations, hence both forms survive for locally bound readings.



The set of possible interpretations for **S** is not a proper subset of those for **S**'.

 \rightarrow Either S or S' can be spoken for blame(Luke, Luke).

SUMMARY

The novel data discussed here fill a typological gap in the literature on Binding Theory. We show and argue that:

- → the classic take on Condition B cannot capture the Vietnamese coreference patterns.
- → minh is a logophor (like Mandarin ziji Huang & Liu, 2001) subject to a discourse sensitive Condition A (Charnavel & Sportiche, 2016), hence minh is not a "dedicated reflexive" in the sense of Rooryck & vanden Wyngaerd (2011).
- \rightarrow the competition between *minh* and the personal pronoun *nó* does not yield a ban on local coreference.
- → the Vietnamese data, like Jambi and Chamorro, provides an argument in favor of competition-based BT accounts.

Related Questions:

- → Like Rule I, the *Gricean Coreference Rule* concerns non-quantified DP antecedents. Condition B effects can still exist in Vietnamese when it comes to proper (quantifier) binding. See **Thuy's talk on Sunday** for an argument in favor of this split!
- → Do other mechanisms for reflexivity exist in Vietnamese? Yes, but no "dedicated reflexive" like *himself*.
- → Do languages like Mandarin and Icelandic allow local coreference for personal pronouns? More work is to be done.

ACKNOWLEDGMENTS

We are extremely grateful to Kyle Johnson and Brian Dillon for their incredible encouragement, patience and constant feedback. Many thanks are also due to Rajesh Bhatt, Lyn Frazier, and Marcel den Dikken for a great deal of discussion of this work. In addition, we would like to thank the audiences of *Pronouns in Competition* at UC Santa Cruz (April 2018) and of *TripleA 5* in Konstanz (June 2018) for their interest and comments. Responsibility for all shortcomings, naturally, rests with us.

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