

② Brillouin Zone: Wigner - Seitz cell of the reciprocal lattice

in 1d:  $\xrightarrow{\text{WS cell}}$   $b = \frac{2\pi}{a}$ ; WS cell:  $[-\frac{b}{2}, \frac{b}{2}] = [-\frac{\pi}{a}, \frac{\pi}{a}]$

$BZ = 1^{\text{st}} BZ = \text{all points that are closest to a given lattice point.}$

$n^{\text{th}} BZ$ :  $n^{\text{th}}$  closest to origin.

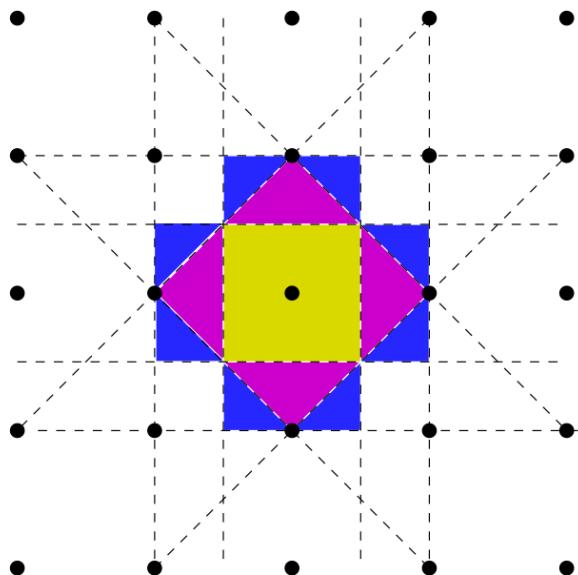


Figure 28: The Brillouin zones for a 2d square lattice. The first is shown in yellow, the second in pink, the third in blue.

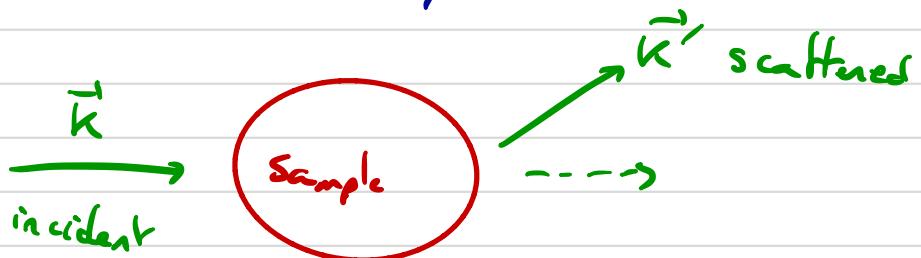
As in 1d, conserved momentum  $\in 1^{\text{st}} BZ$ .

Reduced Zone Scheme: higher  $BZ$ 's are mapped back to the 1<sup>st</sup> one using  $\vec{b}$  vectors.

Crystallographic notation: Br are 3D for real materials. Choose paths through special points ("Γ", Η, R ...)

### III Wave scattering by crystals

How do we know about crystalline structure?



X-ray, neutrons,  $e^-$  etc...

Plane waves see the sample as potential  $V(\vec{r})$

Fermi's Golden Rule

$$\Gamma_{\vec{k} \rightarrow \vec{k}'} = \frac{2\pi}{\hbar} |\langle \vec{k}' | V | \vec{k} \rangle|^2 \times \delta(E_{\vec{k}'} - E_{\vec{k}})$$

← rates

↳ elastic scattering  
 $(\vec{k}' = \vec{k}'')$   $\underbrace{\langle \vec{x}' | \vec{x} \rangle}_{\delta(\vec{x} - \vec{x}'')}$

with  $\langle \vec{k}' | V | \vec{k}' \rangle = \int d\vec{x} d\vec{x}' \langle \vec{k}' | \vec{x}' \rangle V(\vec{x}) \delta(\vec{x} - \vec{x}')$

$$= \frac{1}{L^3} \int d\vec{x} e^{-i(\vec{k} - \vec{k}') \cdot \vec{x}} V(\vec{x}) = FT(V(\vec{x}))$$

$\langle \vec{x}' | \vec{k}' \rangle$

We already saw:

$$\begin{aligned} \langle \vec{k}' | v | \vec{k} \rangle &= \frac{1}{L^3} \int_{\text{unit cell}} d\vec{x}^3 e^{-i(\vec{k}-\vec{k}').\vec{x}'} v(\vec{x}') \times \underbrace{\Delta(\vec{k}-\vec{k}')}_{\sum_{\vec{R} \in \Lambda} e^{-i(\vec{k}-\vec{k}'-\vec{q}).\vec{R}}} \\ &= V^* \sum_{\vec{q} \in \Lambda^*} \delta(\vec{k}-\vec{k}'-\vec{q}) \end{aligned}$$

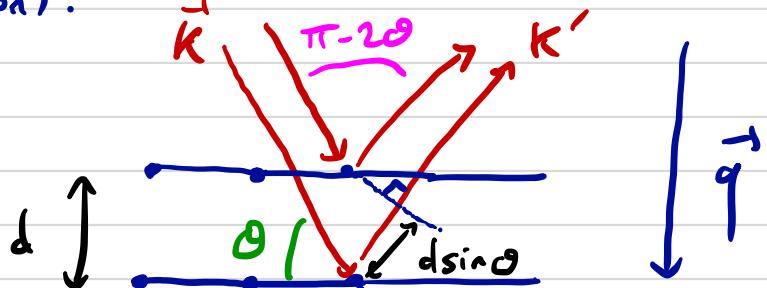
$$\boxed{\vec{k} - \vec{k}' = \vec{q}}$$

with  $\vec{q} \in \Lambda^*$  reciprocal vector

Lane condition = crystal momentum conservation

Solutions to this eq aren't generic (for a given  $\vec{k}$ , chances are there's no solution).

Diffraction picture



$$(\vec{k} - \vec{k}')^2 = q^2 = 2k^2 (1 - \underbrace{\cos(\pi - 2\phi)}_{\cos 2\phi}) = 4 k^2 \sin^2 \theta$$

$$\Rightarrow q = 2k \sin \theta$$

Bragg planes:  $\vec{n} \in \Lambda$  such that  $\vec{n} \cdot \vec{q} = 2\pi n$

$$(\text{recall } e^{i\vec{n} \cdot \vec{q}} = 1)$$

(planes normal to  $\vec{q}$ )

$$d = \text{distance between plane} = 2\pi/q$$

$$\text{Wavelength} : \lambda = \frac{2\pi}{k} \Rightarrow \lambda = 2d \sin\theta$$

Repeat for  $n\vec{q}$ :

$$n\lambda = 2d \sin\theta$$

Bragg condition

$\Leftrightarrow$  constructive interferences  $n\lambda = \text{extra distance}$   
(see sketch last page)

Scattering off Bragg planes. Also,  $\lambda \sim \text{atomic separation} \sim a$   
 $\Rightarrow X\text{-ray}$

Structure Factor :  $S(\vec{q}) = \int_{\Gamma} d\vec{x} e^{-i\vec{q} \cdot \vec{x}} V(\vec{x})$

(scattering amplitude)

labelled by  $\vec{q} = n_1 \vec{b}_1 + n_2 \vec{b}_2 + n_3 \vec{b}_3$

$(n_1, n_2, n_3)$

form factors

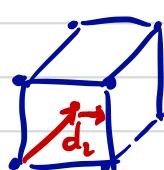
$$V(\vec{x}) = \sum_{j, \text{ atoms}} V(\vec{x} - \vec{x}_j) \quad \begin{array}{l} \xrightarrow{\text{neutrons}} \sim b_j \cdot \delta(\vec{x} - \vec{x}_j) \\ \xrightarrow{\text{X-ray}} \text{scatter off } e^- \\ V(\vec{x}) \sim e^- \text{ density} \end{array}$$

In general, we write:  $S_{n_1, n_2, n_3} = \sum_{j \in \text{unit cell}} f_j e^{-i\vec{q} \cdot \vec{x}_j}$

Example: Think of FCC lattice as cubic lattice of size  $a$

with two basis vectors:  $\vec{d}_1 = \vec{a}$

$$\vec{d}_2 = \frac{a}{2} \begin{pmatrix} 1 & 1 & 1 \end{pmatrix}$$



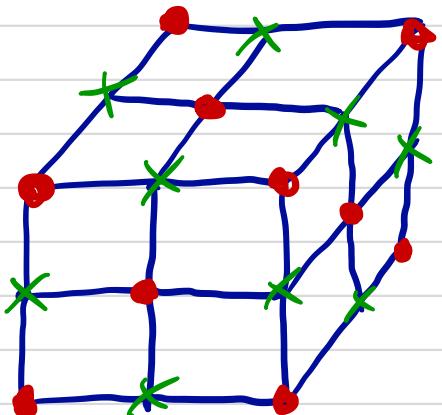
$$f_1 = f_2 \text{ (identical atoms)}$$

$$S(\vec{q}) = \beta \left( e^{-i\vec{q} \cdot \vec{d}_1} + e^{-i\vec{q} \cdot \vec{d}_2} \right) = \beta \left( 1 + (-1)^{\sum n_i} \right)$$

$$\vec{q} = \frac{2\pi}{a} \sum_i n_i \quad \text{for square lattice}$$

$$S(\vec{q}) = \begin{cases} 2 & \text{if } \sum_i n_i \text{ even} \\ 0 & \text{if } \dots \text{ odd} \end{cases}$$

→ Half of the points in  $\Lambda^*$  don't contribute



→ FCC lattice!

That's indeed the reciprocal lattice of a BCC lattice

## IV Band Theory

We're finally ready to generalize all our results to dimension  $d > 1$ .

a) Block's theorem :  $V(\vec{x} + \vec{n}) = V(\vec{x}) \quad \forall \vec{n} \in \Lambda$

Eigenstates :

$$\psi_{\vec{k}}(\vec{x}) = U_{\vec{k}}(\vec{x}) e^{i \vec{k} \cdot \vec{x}}$$



$$U_{\vec{k}}(\vec{x} + \vec{n}) = U_{\vec{k}}(\vec{x}) \quad \vec{n} \in \Lambda$$

Proof: translation operations:  $T_{\vec{n}} T_{\vec{n}'} = T_{\vec{n} + \vec{n}'}$

$$[H, T_{\vec{n}}] = 0 \Rightarrow \text{label } \Psi \text{ (eigens态) by eigenvalue } e^{i\Theta_{\vec{n}}} \text{ of } T_{\vec{n}}$$

$$e^{i\Theta_{\vec{n}}} e^{i\Theta_{\vec{n}'}} = e^{i\Theta_{\vec{n} + \vec{n}'}}$$

$$T_{\vec{a}_j} \Psi(\vec{x}) = \Psi(\vec{x} + \vec{a}_j) = e^{i\Theta_j} \Psi(\vec{x})$$

$$T_{\vec{n}} \Psi(\vec{x}) = \Psi(\vec{x} + \vec{n}) = e^{i \sum_j n_j \Theta_j} \Psi(\vec{x})$$

$$\vec{n} = \sum_j n_j \vec{a}_j \quad \text{where } \vec{k} \cdot \vec{a}_j = \Theta_j$$

$$\Rightarrow T_{\vec{n}} \Psi_{\vec{k}}(\vec{x}) = e^{i \vec{k} \cdot \vec{n}} \Psi_{\vec{k}}(\vec{x})$$

$$\text{Now } U_{\vec{k}} = e^{-i \vec{k} \cdot \vec{x}} \Psi_{\vec{k}}(\vec{x})$$

$$U_{\vec{k}}(\vec{x} + \vec{n}) = e^{-i \vec{k} \cdot \vec{n}} e^{-i \vec{k} \cdot \vec{x}} \underbrace{\Psi_{\vec{k}}(\vec{x} + \vec{n})}_{e^{i \vec{k} \cdot \vec{n}} \Psi_{\vec{k}}(\vec{x})} = U_{\vec{k}}(\vec{x}) \quad \checkmark$$

Crystal momentum: defined up to  $\vec{q} \in \Lambda^*$

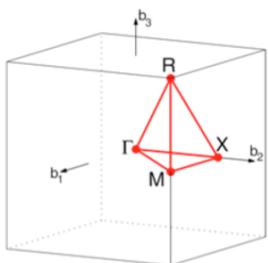
$$\Psi_{\vec{k} + \vec{q}}(\vec{x}) = e^{+i \vec{k} \cdot \vec{x}} \underbrace{e^{-i \vec{q} \cdot \vec{x}} U_{\vec{k} + \vec{q}}(\vec{x})}_{\tilde{U}_{\vec{k}}(\vec{x})} \quad \vec{n} \in \Lambda$$

also obeys  $\tilde{U}_{\vec{k}}(\vec{x} + \vec{n}) = \tilde{U}_{\vec{k}}(\vec{x})$   
since  $e^{i \vec{q} \cdot \vec{n}} = 1$

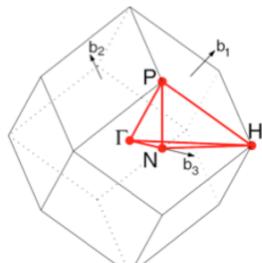
as in 1d, we can choose  $\vec{k} \in 1^{\text{st}} \text{ BZ}$ , our label levels by bands ("reduced zone scheme") :  $\Psi_{\vec{k}, n}$

n: band index

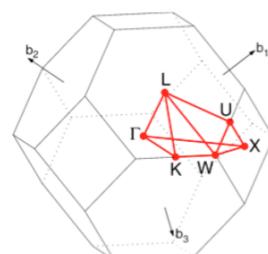
or take  $\vec{k} \in \mathbb{R}^d$  (extended zone scheme),  $\vec{k}$ 's that differ by  $\vec{q} \in \Lambda^*$  have the same crystal momenta.



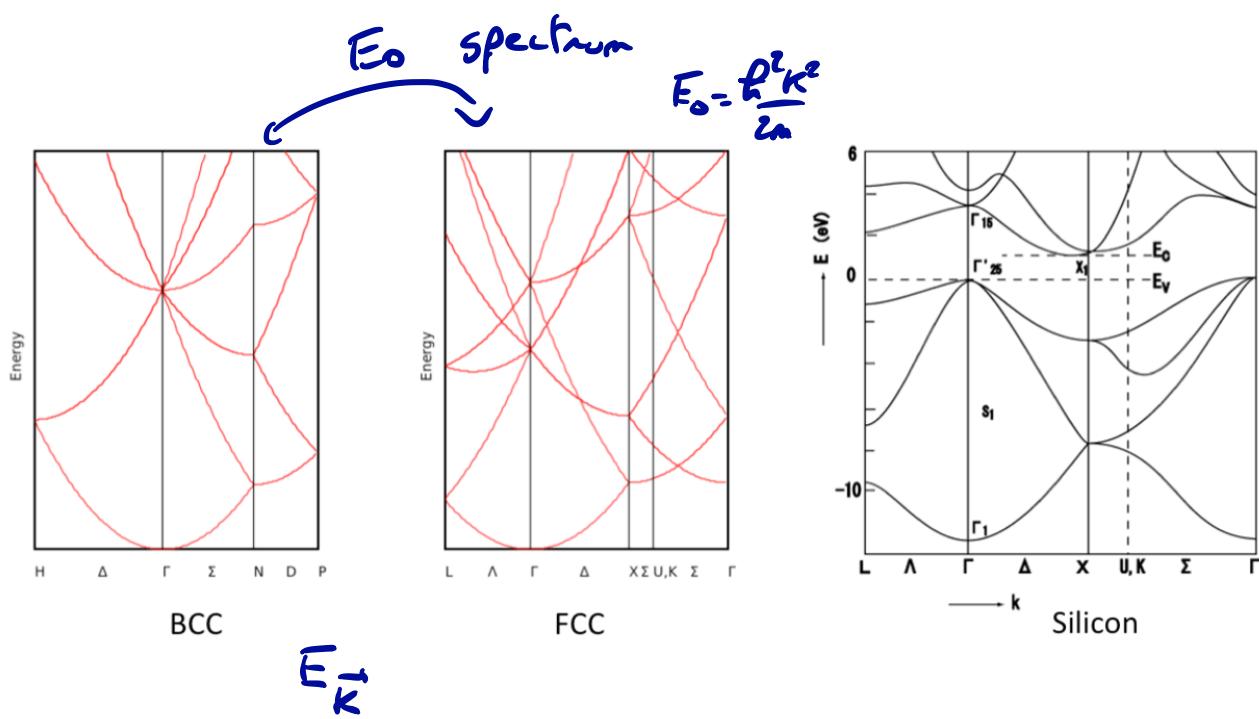
Cubic



BCC



FCC



## ② Nearly free electrons

Similar to 1d case. Start with  $H_0 = \frac{\hbar^2}{2m}$ ,  $E_{\vec{k}} = \frac{\hbar^2 k^2}{2m}$

$$\langle \vec{x} | \vec{k} \rangle = \frac{1}{\sqrt{V}} e^{i \vec{k} \cdot \vec{x}}$$

Then perturb with  $V(\vec{x}) = V(\vec{x} + \vec{\pi})$   
 $\vec{\pi} \in \Lambda$

$$\text{Matrix elements: } \langle \vec{k}' | V(\vec{x}) | \vec{k}'' \rangle = \frac{1}{V} \int d\vec{x} e^{i(\vec{k}' - \vec{k}'') \cdot \vec{x}} V(\vec{x})$$

Non vanishing only if  $\vec{k} - \vec{k}'' = \vec{q} \in \Lambda^*$ :  $V(\vec{x}) = \sum_{\vec{q} \in \Lambda^*} e^{i\vec{q} \cdot \vec{x}} \tilde{V}_{\vec{q}}$

$$|\vec{k}\rangle \xrightarrow{\text{scatter}} |\vec{k} - \vec{q}\rangle$$

This is basically Bloch's theorem again.

$$\begin{aligned} \psi_{\vec{k}}^0 &= \frac{1}{\sqrt{V}} e^{i\vec{k} \cdot \vec{x}} &\longrightarrow \psi_{\vec{k}}(\vec{x}) &= \sum_{\vec{q} \in \Lambda^*} e^{i(\vec{k} - \vec{q}) \cdot \vec{x}} C_{\vec{k} - \vec{q}} \\ &= e^{i\vec{k} \cdot \vec{x}} \underbrace{\sum_{\vec{q} \in \Lambda^*} e^{-i\vec{q} \cdot \vec{x}} C_{\vec{k} - \vec{q}}}_{U_{\vec{k}}(\vec{x})} \end{aligned}$$

Low momentum:  $|\vec{k}\rangle$  and  $(\vec{k} + \vec{q})\rangle$  have very  $\neq$  energies

small corrections from 2<sup>nd</sup> order perturbation theory

Near edges of BZ:  $E_0(\vec{k}) = E_0(\vec{k} + \vec{q})$  (small denominators)

$$\Rightarrow \vec{k}^2 = (\vec{k} + \vec{q})^2 \Rightarrow \vec{q}^2 + 2\vec{k} \cdot \vec{q} = 0$$

$$\Rightarrow \boxed{\vec{k} = -\frac{\vec{q}}{2} + \vec{k}_\perp} \quad \text{with } \vec{k}_\perp \cdot \vec{q} = 0$$

Perpendicular bisector of origin and point  $-\vec{q} \in \Lambda^*$

$\Rightarrow$  edge of the BZ! (Wigner-Seitz cell)

as in 1d,  $V$  opens up a gap using degenerate perturbation theory.

How many states in the BZ?

For an infinite lattice,  $K$  continuous. What if we have  $N$  lattice sites?

$$\bar{n} = \sum_i n_i \vec{a}_i^i \quad 0 \leq n_i < N_i; \quad N = N_1 N_2 N_3$$

Total volume:  $VN$  with  $V = |\vec{a}_1 \cdot (\vec{a}_2 \times \vec{a}_3)|$

$$\text{PBC: } \psi_{\vec{k}}(\vec{x} + N_i \vec{a}_i) = \psi_{\vec{k}}(\vec{x}) \quad i = 1, 2, 3 \quad (\text{"Bloch-von Karman"})$$

$$\psi_{\vec{k}}(\vec{x}) = e^{i\vec{k} \cdot \vec{x}} u_{\vec{k}}(\vec{x}) \quad \text{and} \quad u_{\vec{k}}(\vec{x} + \vec{a}_i) = u_{\vec{k}}(\vec{x}) \Rightarrow e^{iN_i \vec{k} \cdot \vec{a}_i} = 1$$

$$\Rightarrow \vec{k} = \sum_i \frac{n_i}{N_i} \vec{B}_i \quad (\text{recall: } \vec{a}_i \cdot \vec{B}_j = 2\pi \delta_{ij})$$

$$n_i \in \mathbb{Z}$$

$\vec{k}$  quantized, as expected, labelled by  $\{n_1, n_2, n_3\}$

Each state occupies a volume:  $(\vec{B}_1 \cdot (\vec{B}_2 \times \vec{B}_3)) / N_1 N_2 N_3 = \frac{V^*}{N}$

$$\Rightarrow \# \text{ states in BZ} = N$$

② Wannier functions: Pick energy band:  $\psi_{\vec{k}}(\vec{x}) = e^{i\vec{k} \cdot \vec{x}} u_{\vec{k}}(\vec{x})$

$$w_{\vec{n}}(\vec{x}) = \frac{1}{\sqrt{N}} \sum_{\vec{k} \in BZ} e^{-i\vec{k} \cdot \vec{n}} \psi_{\vec{k}}(\vec{x})$$

Basis of functions  
Localized near  $\vec{n} \in \Lambda$

$$w_{\vec{n} + \vec{k}'}(\vec{x} + \vec{n}') = \frac{1}{\sqrt{N}} \sum_{\vec{k}} e^{-i\vec{k} \cdot \vec{n}'} e^{-i\vec{k} \cdot \vec{n}'} \underbrace{q_{\vec{k}}(\vec{x} + \vec{n}')}_{e^{i\vec{k} \cdot \vec{x}} e^{-i\vec{k} \cdot \vec{n}'}} w_{\vec{n}}(\vec{x})$$

$$= w_{\vec{n}}(\vec{x})$$

$$\Rightarrow w_{\vec{n}}(\vec{x}) = w(\vec{x} - \vec{n}')$$

Inverse:  $q_{\vec{k}}(\vec{x}) = \frac{1}{\sqrt{N}} \sum_{\vec{n} \in \Lambda} e^{i\vec{k} \cdot \vec{n}'} w(\vec{x} - \vec{n}')$

Orthogonality:  $\int d\vec{x} w(\vec{x} - \vec{n}') w(\vec{x} - \vec{n}'') = \frac{1}{N} \int d\vec{x} \sum_{\vec{k}, \vec{k}'} e^{i\vec{k} \cdot \vec{n}'' - i\vec{k} \cdot \vec{n}'}$

$$q_{\vec{k}'}^*(\vec{x}) q_{\vec{k}}(\vec{x}) = \frac{1}{N} \sum_{\vec{R}} e^{i\vec{k} \cdot (\vec{n}' - \vec{n}'')} = \sum_{\vec{n}, \vec{n}''}$$

#### (d) Tight-Binding model in 3d

As in 1d, assume  $e^-$  live on sites  $\vec{n}' \in \Lambda$

$$H = \sum_{\vec{n}' \in \Lambda} E_0(\vec{n}') \langle \vec{n}' | - \sum_{\langle \vec{n}, \vec{n}'' \rangle} t_{\vec{n}'' - \vec{n}} \left( |\vec{n}''\rangle \langle \vec{n}'| + \text{h.c.} \right)$$

$$= \sum_{\vec{n}' \in \Lambda} \left[ E_0(\vec{n}') \langle \vec{n}' | - \sum_{\vec{a}} t_{\vec{a}} |\vec{n}'\rangle \langle \vec{n}' + \vec{a}| \right]$$

$$(t_{\vec{a}} = t_{-\vec{a}}) \\ = t$$

$\nearrow$  lattice vectors connecting  $\vec{n}'$   
to its neighbors  
6 terms for cubic lattice



$$\text{Eigenstates: } |\psi_{\vec{k}}\rangle = \frac{1}{\sqrt{N}} \sum_{\vec{n} \in \Lambda} e^{i\vec{k} \cdot \vec{n}} |\vec{n}\rangle$$

$$E_{\vec{k}} = E_0 - \sum_{\vec{a}} t e^{i\vec{k} \cdot \vec{a}}$$

↑ include  $-\vec{a}$ , sum over all neighbors

ex: cubic lattice:  $\vec{a} = \begin{pmatrix} \pm 1 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ \pm 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ \pm 1 \end{pmatrix}$

$$\Rightarrow E_{\vec{k}} = E_0 - 2t \left( \cos k_x a + \cos k_y a + \cos k_z a \right)$$

Bandwidth:  $\Delta E = 12t$ .

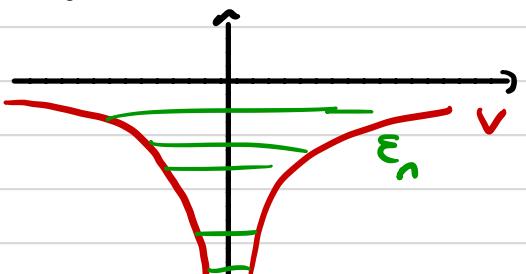
## ② Derivation of the tight-binding model, LCAO

Single atom

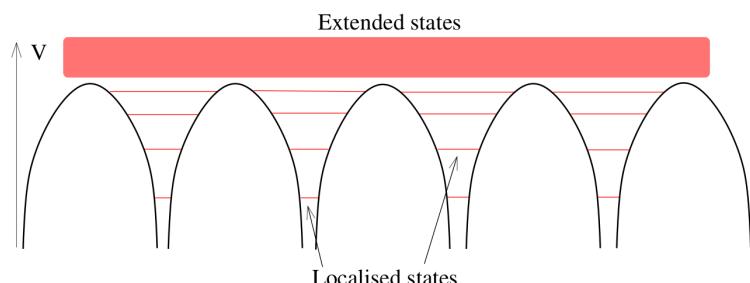
$$H_{\text{atom}} = \frac{p^2}{2m} + V_{\text{atom}}(\vec{x})$$

For an  $e^-$  orbiting this atom

$$H_{\text{atom}} \phi_n(\vec{x}) = \epsilon_n \phi_n(\vec{x}),$$



$$\text{lattice: } V_{\text{lattice}}(\vec{x}) = \sum_{\vec{n} \in \Lambda} V_{\text{atom}}(\vec{x} - \vec{n})$$



Let's assume we have a single valence  $e^-$  with localized wave functions  $\phi(\vec{x})$ , energy  $\epsilon$ .

We want to diagonalize  $H = \frac{p^2}{2m} + V_{\text{lattice}}(\vec{x})$

Let's guess a good Bloch state:

$$\langle \psi_{\vec{k}}(\vec{x}) \rangle = \frac{1}{N} \sum_{\vec{n} \in \Lambda} e^{i\vec{k} \cdot \vec{n}} \phi(\vec{x} - \vec{n})$$

~ Wannier functions  
 BUT  $\phi(\vec{x})$  on  $\neq$   
 sites  $\neq$   
 orthogonal.

$$(\text{satisfies } \psi_{\vec{k}}(\vec{x} + \vec{n}) = e^{i\vec{k} \cdot \vec{n}} \psi_{\vec{k}}(\vec{n}))$$

Overlap very  
 important!  
 $\downarrow \neq \delta(\vec{n} - \vec{n}')$

$$\text{We have: } \langle \psi_{\vec{k}} | \psi_{\vec{k}} \rangle = \frac{1}{N} \sum_{\vec{n}, \vec{n}'} e^{i\vec{k} \cdot (\vec{n}' - \vec{n})} \underbrace{\int d\vec{x} \phi^*(\vec{x} - \vec{n}) \phi(\vec{x} - \vec{n}')}_{\int d\vec{x} \phi^*(\vec{x} - \vec{n} + \vec{n}') \phi(\vec{x})}$$

$$= \sum_{\vec{n}} e^{-i\vec{k} \cdot \vec{n}} \int d\vec{x} \phi^*(\vec{x} - \vec{n}) \phi(\vec{x})$$

$$= 1 + \sum_{\substack{\vec{n} \neq 0 \\ \vec{n} \in \Lambda}} e^{-i\vec{k} \cdot \vec{n}} \underbrace{\alpha(\vec{n})}_{\text{overlap of wave functions separated by } \vec{n}}$$

$$\langle \psi_{\vec{k}} | H | \psi_{\vec{k}} \rangle = \epsilon \langle \psi_{\vec{k}} | \psi_{\vec{k}} \rangle + \sum_{\vec{n}' \in \Lambda} e^{-i\vec{k} \cdot \vec{n}'} \underbrace{\int d\vec{x} \phi^*(\vec{x} - \vec{n}') \Delta V(\vec{x}) \phi(\vec{x})}_{\int d\vec{x} \phi^*(\vec{x}) \Delta V(\vec{x}) \phi(\vec{x})}$$

$$\Delta V = \sum_{\vec{n} \neq 0} V_{\text{atom}}(\vec{x} - \vec{n})$$

$$= \epsilon \langle \psi_{\vec{k}} | \psi_{\vec{k}} \rangle + \Delta E + \sum_{\vec{n} \neq 0} e^{-i\vec{k} \cdot \vec{n}} \delta(\vec{n})$$

$$\text{Energy, } E_{\vec{k}} = \frac{\langle \psi_{\vec{k}} | H | \psi_{\vec{k}} \rangle}{\langle \psi_{\vec{k}} | \psi_{\vec{k}} \rangle} = \varepsilon + \frac{\Delta\varepsilon + \sum_{\vec{n} \neq 0} e^{-i\vec{k} \cdot \vec{n}} \gamma(\vec{n})}{1 + \sum_{\vec{n} \neq 0} e^{-i\vec{k} \cdot \vec{n}} \alpha(\vec{n})}$$

$\gamma \ll 1$   
 $\alpha \ll 1$

$$\approx \varepsilon + \Delta\varepsilon + \sum_{\vec{n} \neq 0} e^{-i\vec{k} \cdot \vec{n}} (\gamma(\vec{n}) - \alpha(\vec{n}) \Delta\varepsilon)$$

Example s-orbitals :  $\phi(\vec{n}) = \phi(n)$ , and  $\phi$  real.

Also assume  $\alpha, \gamma$  only sizable for nearest neighbors.

$$\alpha(\vec{n}) = \int d^3x \phi(x - \vec{n}) \phi(x) = \alpha(-\vec{n})$$

$$\gamma(\vec{n}) = \gamma(-\vec{n}) \text{ b.c. if } V(\vec{n}) = V(-\vec{n}) \text{ ("inversion symmetry")}$$

$$E_{\vec{k}} = \underbrace{\varepsilon + \Delta\varepsilon}_{\vec{n} = \pm \vec{a} \text{ only}} + e^{-i\vec{k} \cdot \vec{a}} \underbrace{(\gamma_{\vec{a}} - \alpha_{\vec{a}} \Delta\varepsilon)}_{-t_{\vec{a}}} + e^{+i\vec{k} \cdot \vec{a}} (\gamma_{-\vec{a}} - \alpha_{-\vec{a}} \Delta\varepsilon)$$

$$= E_0 - \sum_{\vec{a}} \cos(\vec{k} \cdot \vec{a}) t_{\vec{a}}$$

. Good approximation if this band doesn't mix with lower energy bound states.

### Linear combination of atomic orbitals (LCAO)

More energy levels? Approximate solution as:

$$\psi_{\vec{k}}(\vec{x}) = \frac{1}{\sqrt{N}} \sum_{\vec{n} \in \Lambda} e^{+i\vec{k} \cdot \vec{n}} \sum_n \phi_n(\vec{x} - \vec{n})$$

Linear  
Combination of  
Atomic  
Orbitals

energy  $\epsilon_n$  for  $k$ -thm

Variational ansatz, minimize  $E_K = \frac{\langle \psi_K | H | \psi_K \rangle}{\langle \psi_K | \psi_K \rangle}$

$$\langle \psi_K | \psi_K \rangle = \sum_{\vec{n} \in \Lambda} \sum_{n,n'} c_n^* c_{n'} e^{-i\vec{k} \cdot \vec{n}} \underbrace{\int d\vec{x} \phi_{n'}(\vec{x} - \vec{n}) \phi_n(\vec{x})}_{\propto_{n,n'}(\vec{n})}$$

(don't isolate  $\vec{n} = \vec{0}$  term as before)

$$\langle \psi_K | H | \psi_K \rangle = \sum_{\vec{n} \in \Lambda} \sum_{n,n'} c_n^* c_{n'} e^{-i\vec{k} \cdot \vec{n}} \int d\vec{x} \phi_{n'}^*(\vec{x} - \vec{n}) (H_{\text{atom}} + \Delta V) \phi_n(\vec{x})$$

$$= \sum_{\vec{n} \in \Lambda} \sum_{n,n'} c_n^* c_{n'} e^{-i\vec{k} \cdot \vec{n}} \left( \epsilon_n \propto_{n,n'}(\vec{n}) + \gamma_{n,n'}(\vec{n}) \right)$$

$$\frac{\partial E_K}{\partial c_{n'}} = \frac{1}{\langle \psi_K | \psi_K \rangle} \frac{\partial \langle \psi_K | H | \psi_K \rangle}{\partial c_{n'}} - \frac{E_K}{\langle \psi_K | \psi_K \rangle} \frac{\partial \langle \psi_K | \psi_K \rangle}{\partial c_{n'}} = 0$$

$$\Rightarrow \sum_n \left[ \sum_{\vec{n} \in \Lambda} e^{-i\vec{k} \cdot \vec{n}} (\gamma_{n,n'}(\vec{n}) + (\epsilon_n - E_K) \propto_{n,n'}(\vec{n})) \right] c_{n'} = 0$$

$M_{n,n'}(\vec{k})$  : matrix

eigenvalues  
with 0 eigenvalue

$$\Rightarrow \det \hat{M}(\vec{k}) = 0$$

$P \times P$  matrix  
with  $P$  orbitals

→ solution:  $P$  bands  $E_n(\vec{k})$ ,  $n = 1, \dots, P$